EXHIBIT 1 PART 2 OF 2

1	DEPUTY COMMISSIONER RODRIGUEZ: I believe
2	that's in the probation officer's report. Did you
3	quote that, Commissioner Angele?
4	PRESIDING COMMISSIONER ANGELE: No.
5	ATTORNEY FOX: I don't believe it's stated
6	there.
7	DEPUTY COMMISSIONER RODRIGUEZ: Okay, I was
8	looking at the prisoner's version. And what I'm
9	looking at is the current Board report, April
10	2002, and that's on the first page, (inaudible)
11	addressing that You explained that they had
12	the gun for protection in case someone else had to
13	have a weapon, correct?
L4	ATTORNEY FOX: Once again
15	DEPUTY COMMISSIONER RODRIGUEZ: Excuse me,
L 6	counsel, I'll let you address that. Ngo stated
L7	that he did not see the gun until he was in the
L8	vehicle, it was located under the passenger seat,
L9	correct?
20	INMATE NGO: (Inaudible.)
21	DEPUTY COMMISSIONER RODRIGUEZ: And that's
22	the story you're staying
23	INMATE NGO: It's a true story.
24	DEPUTY COMMISSIONER RODRIGUEZ: And he's
25	corrected and we're satisfied
26	ATTORNEY FOX: And I believe it's
27	consistently stated that way throughout the

- 1 probation report as well, and the defendant's
- 2 statement and also a statement that was given by
- 3 one of the detectives involved in the
- 4 investigation.
- 5 DEPUTY COMMISSIONER RODRIGUEZ: All right.
- 6 Do you agree with everything I've read up to this
- 7 point, as far as your program?
- 8 INMATE NGO: I still have a, I have a
- 9 certificate in STD and AIDS too.
- 10 DEPUTY COMMISSIONER RODRIGUEZ: Okay, I
- 11 don't think I have copies of those. Do you have
- 12 copies of them?
- 13 INMATE NGO: Yes, Sir.
- 14 DEPUTY COMMISSIONER RODRIGUEZ: I went
- 15 through all the certificates you have here that
- 16 they provided us, which I confirmed, which are in
- 17 the ---
- 18 INMATE NGO: Hepatitis and tuberculosis.
- 19 DEPUTY COMMISSIONER RODRIGUEZ: Well I
- 20 addressed those already.
- 21 INMATE NGO: Sexually transmitted disease---
- 22 DEPUTY COMMISSIONER RODRIGUEZ: I addressed
- 23 that too. That was in 1999, correct, right there
- 24 at the bottom?
- 25 ATTORNEY FOX: Well, one is in 2000, the
- 26 STD's.
- 27 DEPUTY COMMISSIONER RODRIGUEZ: Okay. That

- 1 was the sexually transmitted disease, right.
- 2 ATTORNEY FOX: And on the back there's
- 3 another one in 2000.
- 4 DEPUTY COMMISSIONER RODRIGUEZ: Right, and
- 5 then HIV. I may have said the wrong date, but I
- 6 did address both these certificates.
- 7 ATTORNEY FOX: The other two, tuberculosis
- 8 and hepatitis were (inaudible).
- 9 DEPUTY COMMISSIONER RODRIGUEZ: Correct,
- 10 okay. So do you agree with everything else?
- 11 INMATE NGO: Yes, Sir.
- 12 DEPUTY COMMISSIONER RODRIGUEZ: Okay. As
- 13 far as disciplinary history, you have received no
- 14 CDC 115's, either administrative or serious since
- 15 reception. And you've only received a total of
- 16 two 128(a) counseling chronos. The first one was
- 17 April 1st, 1997, for failure to respond to medical
- 18 ducat. And then the last one was on February
- 19 11th, 2000, for covering your windows with a
- 20 towel. Trying to keep the light out, huh?
- 21 INMATE NGO: Actually, cold Sir.
- 22 DEPUTY COMMISSIONER RODRIGUEZ: Cold. In
- 23 going through your counselor's recommendation,
- 24 that's from the current Board report dated April
- 25 2002. The author of this report is the initial M.
- 26 Rubio, R-U-B-I-O, Correctional Counselor I here at
- 27 Soledad state prison. Going to page three under

- 1 the heading of Summary, it says considering the
- 2 commitment offense, minimal prior arrest record
- 3 and prison adjustment, the writer believes Ngo
- 4 would probably pose a moderate to low degree of
- 5 threat to the public at this time if released from
- 6 prison. Going to the psych report, there's two
- 7 reports I'll be addressing. The first one is
- 8 dated December 27th, 1996. It's a three page,
- 9 three. It's completed at California State Prison,
- 10 Lancaster. The author of that report is the
- initial C. Schroeder, S-C-H-R-O-E-D-E-R, Staff
- 12 Psychologist. I'm not going to read that report
- in it's entirety, but I will address areas of that
- 14 report. Going to page two, under Present
- 15 Condition, it says the remorse he expresses is
- 16 sincere. He is synonymous with inmate Ngo. He
- 17 shows much (inaudible) and self-incrimination for
- 18 the crime. In hindsight he sees that he perhaps
- 19 could have stopped the incident and now has great
- 20 empathy and remorse for the family of the victim.
- 21 Under Mental Status and Diagnosis, Axis I: no
- 22 diagnosis or condition. Axis II: no diagnosis.
- 23 Axis III: none. Going to page three, Axis IV:
- 24 psychosocial stressors, incarceration. Axis V:
- 25 Global Assessment of Functioning score, that's
- 26 your GAF score is 75. Under Conclusions and
- 27 Recommendations, Mr. Ngo's history of depression

- 1 and suicide attempts may have contributed to his
- 2 state of mind and poor judgment during the time of
- 3 the crime. There are no records of any mental
- 4 health treatment during incarceration and Mr. Ngo
- 5 is no longer depressed. Going to the last psych
- 6 report, and that is a seven-page report completed
- 7 here at Soledad state prison. The date of that
- 8 report is April, excuse me, January 23rd, 2002.
- 9 The author of that report is the initial C.
- 10 Saindon, capital-S-A-I-N-D-O-N, Staff
- 11 Psychologist. Under, let's see, going to page
- 12 four, under heading Current Diagnostic
- 13 Impressions, Axis I: no contributory clinical
- 14 disorder. Axis II: deferred. Axis III: no
- 15 contributory physical disorder. Axis IV:
- 16 long-term incarceration. Axis V: Global
- 17 Assessment of Functioning score, your GAF score is
- 18 90, noting that it had gone up considerably from
- 19 75 from the first report that I addressed in 1996.
- 20 Ninety is a very good score. Going to page five
- 21 under Assessment of Dangerousness, based upon his
- 22 complete lack of disciplinary actions while
- 23 incarcerated, his insight into the negative
- 24 aspects of gang involvement and his remorse for
- 25 his actions, his violence potential within a
- 26 controlled setting is estimated to be below
- 27 average relative to this Level II inmate

- 1 population. If released to the community, his
- 2 violence potential is estimated to be less than
- 3 the average citizen in the community given his
- 4 insight, his demonstrated ability to stay out of
- 5 trouble, his successful development of plans upon
- 6 release, and the support of his family. At this
- 7 time, I'll return to the Chair.
- 8 PRESIDING COMMISSIONER ANGELE: Thank you.
- 9 Do you know what the term mad dogging means?
- 10 INMATE NGO: Yes, Sir.
- 11 PRESIDING COMMISSIONER ANGELE: What does
- 12 that mean to you?
- 13 INMATE NGO: Back then it was dirty looks.
- 14 PRESIDING COMMISSIONER ANGELE: You
- 15 indicated that you first saw the gun in the car.
- 16 INMATE NGO: Yes, Sir.
- 17 PRESIDING COMMISSIONER ANGELE: And then you
- 18 were driving around and just happeneded come upon
- 19 Mr. Gonzales.
- 20 INMATE NGO: No, Sir. We met Mr. Gonzales
- 21 at McDonald's first.
- 22 PRESIDING COMMISSIONER ANGELE: I understand
- 23 that. I'm talking about the second time.
- 24 INMATE NGO: The second time, what happened
- 25 was, when we came back me and (inaudible) went to
- 26 confront Mr. Gonzales and his friend. We were
- 27 going to get into a fistfight. When the fistfight

- 1 started, we were fighting one another, we knocked
- 2 Mr. Gonzales down. And after that, my crime
- 3 partner came and while we was beating him up
- 4 (inaudible) Muhamed was hitting him with a gun.
- 5 Somehow it shot, it went off, the gun went off and
- 6 shot him. The first round probably missed him,
- 7 but the second round hit him.
- 8 PRESIDING COMMISSIONER ANGELE: So you were
- 9 actually looking for him?
- 10 INMATE NGO: Looking for ---
- 11 PRESIDING COMMISSIONER ANGELE:
- 12 Mr. Gonzales.
- 13 INMATE NGO: Yes, we were looking for him to
- 14 fight.
- 15 PRESIDING COMMISSIONER ANGELE: Weren't you
- 16 waiting there for him to get out of school?
- 17 INMATE NGO: We were waiting for him after
- 18 school.
- 19 PRESIDING COMMISSIONER ANGELE: Okay. Also,
- 20 you know, a little trouble by some (inaudible)
- 21 problems. Back in December '90, you had a problem
- 22 in school where you jumped somebody else. Do you
- 23 recall that?
- 24 INMATE NGO: It was not a jump. I got in a
- 25 fight with another individual.
- 26 PRESIDING COMMISSIONER ANGELE: Yeah, but
- 27 you had three guys with you and they all jumped in

- 1 on it.
- 2 INMATE NGO: Yes, Sir, they were my friends,
- 3 they back me up. '
- 4 PRESIDING COMMISSIONER ANGELE: They backed
- 5 you up. You against one guy. You knocked him
- 6 down.
- 7 INMATE NGO: I beat him up, yes, Sir.
- 8 PRESIDING COMMISSIONER ANGELE: And then
- 9 three guys backed you up and you all jumped him.
- 10 How do you figure that backing you up, you didn't
- 11 sound like you needed a back up at all?
- 12 INMATE NGO: I have no control over their
- 13 actions, Sir.
- 14 PRESIDING COMMISSIONER ANGELE: How about
- 15 the time you were in a vehicle and found three
- 16 purses, do vou remember that?
- 17 INMATE NGO: No, Sir.
- 18 PRESIDING COMMISSIONER ANGELE: You don't
- 19 remember that? This is in the POR, page 11, line
- 20 seven, (inaudible) automobile during a traffic
- 21 stop three women's purses were found in the
- 22 vehicle in which (inaudible) Ngo was the sole
- 23 occupant. Indicated you did not know who they
- 24 belonged to, that's when they found the rock
- 25 cocaine.
- 26 INMATE NGO: Oh, that's my mom's car ---
- 27 **DEPUTY COMMISSIONER RODRIGUEZ:** I'd like to

- 1 turn the tape over, one moment.
- 2 [Thereupon, the tape was turned over.]
- 3 DEPUTY COMMISSIONER RODRIGUEZ: Side two,
- 4 back on record.
- 5 PRESIDING COMMISSIONER ANGELE: So that was
- 6 your mother's car?
- 7 INMATE NGO: Uh-hmm. It was my mother's car
- 8 when I was arrested, Sir. If there was a purse,
- 9 it must belong to my mom.
- 10 PRESIDING COMMISSIONER ANGELE: Okay. Then
- 11 you indicated the car was searched for no reason.
- 12 INMATE NGO: At first, yes. But when they
- 13 asked me for consent, I gave it to them.
- 14 PRESIDING COMMISSIONER ANGELE: All right.
- 15 But you do understand a male driving in a vehicle
- 16 with three purses in the car would be pretty
- 17 suspicious to me. I'd think maybe it was a purse-
- 18 snatcher. (Inaudible) plenty of probable cause.
- 19 But they asked you ---
- 20 **INMATE NGO:** Yeah.
- 21 PRESIDING COMMISSIONER ANGELE: And you gave
- 22 consent and inside one of the purses they found
- 23 the rock cocaine. I found in a couple of spots
- 24 the gun was given to one of your crime partners by
- 25 somebody at an arcade. Do you recall that
- 26 statement at all?
- 27 INMATE NGO: It was brought up in trial,

- 1 Sir, but like I said before, the first time I ever
- 2 seen the gun was when I got back into the car.
- 3 PRESIDING COMMISSIONER ANGELE: Okay, let me
- 4 ask you this, did you happen to go to the arcade
- 5 prior to you first seeing the gun?
- 6 INMATE NGO: Yeah, I was playing arcade, but
- 7 I went to the restaurant. I told them in court
- 8 that I was at a restaurant, a Hispanic restaurant
- 9 eating when this ---
- 10 PRESIDING COMMISSIONER ANGELE: Okay. So
- 11 can we assume without taking anything out of it
- 12 that isn't, it's a possibility that somebody did
- 13 get the gun at the arcade and put it in the car
- 14 and you just didn't see it.
- 15 INMATE NGO: Very.
- 16 PRESIDING COMMISSIONER ANGELE: What I'm
- 17 trying to establish is that you were at the arcade
- 18 before you got in the car and saw the gun?
- 19 INMATE NGO: Yes.
- 20 **PRESIDING COMMISSIONER ANGELE:** And the
- 21 first place you saw it was in the car.
- 22 INMATE NGO: Exactly.
- 23 PRESIDING COMMISSIONER ANGELE: All right,
- 24 any questions, Commissioner Rodriguez?
- 25 **DEPUTY COMMISSIONER RODRIGUEZ:** None at this
- 26 time.
- 27 PRESIDING COMMISSIONER ANGELE: Any

- 1 questions from the District Attorney?
- 2 DEPUTY DISTRICT ATTORNEY LAIRD: Yes, just a
- 3 few. And I'm referring to the P and S, the
- 4 Probation and Sentencing report.
- 5 PRESIDING COMMISSIONER ANGELE: One second,
- 6 (inaudible) you answer the questions. The
- 7 questions will be asked by the District Attorney
- 8 through the Panel and your statements are to be
- 9 directed to this side of the table.
- 10 INMATE NGO: Yes.
- 11 DEPUTY DISTRICT ATTORNEY LAIRD: Would you
- 12 like me to read what's in the probation report?
- 13 It's just, it's regarding the trial deputy's
- 14 statement in the report.
- 15 **PRESIDING COMMISSIONER ANGELE:** Is it a
- 16 question or what do you intend to do with it?
- 17 DEPUTY DISTRICT ATTORNEY LAIRD: The
- 18 question is I want to know if the inmate believes
- 19 that is an accurate statement, sentence by
- 20 sentence. And I'm talking about page nine of the
- 21 Probation and Sentencing report, starting with
- 22 line 14, that entire paragraph, 14 to 21, which
- 23 begins with in a telephone conversation with the
- 24 prosecuting attorney Robin Park. She's the Deputy
- 25 District Attorney that took the case to trial and
- 26 tried (inaudible) case. And those are her
- 27 comments. And I was wondering if they, Mr. Ngo,

- 1 felt that those were accurate comments, and if
- 2 they are not accurate, how so.
- 3 ATTORNEY FOX: I think we need to go through
- 4 each one point by point, otherwise it's ---
- 5 DEPUTY DISTRICT ATTORNEY LAIRD: Right.
- 6 PRESIDING COMMISSIONER ANGELE: Well, let me
- 7 go through it. There's a statement that the
- 8 prosecuting attorney made regarding the offenses
- 9 being a very vicious attack. After the
- 10 confrontation at the fast food restaurant, the
- 11 inmate, along with No Muhamed, drove by the school
- 12 and planned the revenge. They subsequently
- 13 obtained the weapon, loaded it, and drove back to
- 14 the area of Fullerton High School. They were
- 15 lying in wait for the victim to get out of school.
- 16 This occurred at 3:02 p.m., the victim was killed
- 17 at 3:07 p.m. Is that what happened?
- 18 INMATE NGO: Yes, Sir.
- 19 **PRESIDING COMMISSIONER ANGELE:** Now did you
- 20 understand everything I just said?
- 21 INMATE NGO: When you say lying in wait, do
- 22 you mean I was waiting for him (inaudible).
- 23 **PRESIDING COMMISSIONER ANGELE:** Uh-hmm.
- 24 Well no there's a portion that I think is
- 25 extremely important in today's hearing. It says
- 26 after the confrontation at the fast food
- 27 restaurant, the defendant, which is you, and No

- 1 Muhamed, drove by the school and planned the
- 2 revenge. They, which would be you and Muhamed,
- 3 subsequently obtained a weapon, loaded it, and
- 4 then drove back to the area of Fullerton High
- 5 School.
- 6 INMATE NGO: That's wrong. It wasn't just
- 7 me and No Muhamed. There was five of us.
- 8 PRESIDING COMMISSIONER ANGELE: Okay.
- 9 INMATE NGO: So, and we didn't load the
- 10 weapon. I know I didn't (inaudible). I didn't
- 11 see the weapon until I was in the car. So that
- 12 statement has to be wrong.
- 13 PRESIDING COMMISSIONER ANGELE: All right.
- 14 But you are aware of the term lying in wait. Do
- 15 you know what that means?
- 16 INMATE NGO: That we were waiting.
- 17 PRESIDING COMMISSIONER ANGELE: Yeah, you
- 18 were parked by the school waiting for him to get
- 19 out of school.
- 20 INMATE NGO: Yeah.
- 21 PRESIDING COMMISSIONER ANGELE: Is that
- 22 basically what happened?
- 23 INMATE NGO: That's true.
- 24 PRESIDING COMMISSIONER ANGELE: Did that
- 25 answer your question?
- 26 DEPUTY DISTRICT ATTORNEY LAIRD: Yes. Just
- 27 a follow up question. Did Mr. Ngo realize that

- 1 the gun in the car was loaded when they were going
- 2 back to the school to find victim Gonzales?
- 3 PRESIDING COMMISSIONER ANGELE: When you saw
- 4 the gun or was there any conversation to lead you
- 5 to believe the gun was loaded?
- 6 INMATE NGO: They led me to believe, I think
- 7 it was loaded because, they say that I told the
- 8 police that they say, you know, use it for
- 9 protection. So I figured it probably is loaded.
- 10 But my lack of judgment that day ---
- 11 DEPUTY DISTRICT ATTORNEY LAIRD: And then
- 12 was, at the time that they were waiting for the
- 13 victim, this is addressed to the parole board, at
- 14 the time the suspects were waiting for the victim,
- was victim Alex Gonzales by himself?
- 16 INMATE NGO: No, he wasn't. There was two
- 17 of them, Angel Gonzales and his friend. That's
- 18 when No Muhamed confronted Mr. Gonzales and I
- 19 confronted his other friend. I followed the other
- 20 guy, I don't know his name, and No Muhamed was
- 21 fighting with Mr. Gonzales. And after that, his
- 22 friend ran away and I helped No out.
- 23 PRESIDING COMMISSIONER ANGELE: And how
- 24 about the rest of your crime partners?
- 25 INMATE NGO: They didn't come until later,
- 26 until --- it happened so fast. Me and No was
- 27 fighting we didn't know until the first time the

- 1 gun went off and that's when everybody just
- 2 stopped and we started running away. After that,
- 3 you know, when I heard the first shot, I ran.
- 4 PRESIDING COMMISSIONER ANGELE: Okay.
- 5 DEPUTY DISTRICT ATTORNEY LAIRD: And, again,
- 6 this is directed to the parole board. Did Mr. Ngo
- 7 realize that one of his group would get out of the
- 8 car to confront Alex Gonzales, the victim who
- 9 died, with that firearm that was in the car?
- 10 PRESIDING COMMISSIONER ANGELE: Let's see if
- 11 I understand that clearly. Did you have knowledge
- 12 that somebody in the car was going to confront
- 13 Mr. Gonzales with that firearm?
- 14 INMATE NGO: No, Sir. Not at all.
- DEPUTY DISTRICT ATTORNEY LAIRD: Did Mr. Ngo
- 16 nevertheless realize that somebody was going to be
- 17 taking that gun out of the car into the
- 18 confrontation?
- 19 PRESIDING COMMISSIONER ANGELE: Do you
- 20 understand that question?
- 21 INMATE NGO: Yes. My understanding is,
- 22 that's the argument between No, Mr. Muhamed, I
- asked why did you guys bring a gun, you know,
- 24 without telling me, you know, when I first saw it
- 25 under the seat. That's when they tell me it's
- 26 only for protection. My understanding was it was
- 27 only going to be used if the other group have

- 1 weapon on them; otherwise, it was supposed to be
- 2 in the car. Because when I agreed to go into
- 3 fistfight, that's all I agreed upon, was to have a
- 4 fistfight. I never knew that someone was going to
- 5 take it out and shoot him; otherwise, I probably
- 6 wouldn't be there. But back then I was trying to
- 7 get my life back straight, you know. I'm sorry,
- 8 but ---
- 9 PRESIDING COMMISSIONER ANGELE: Take your
- 10 time.
- 11 INMATE NGO: I'm sorry that Mr. Gonzales,
- 12 you know, died, but I never wanted that to happen.
- 13 It was not my intention. I thought it was just
- 14 for fight. (Inaudible.)
- 15 **PRESIDING COMMISSIONER ANGELE:** Let's take a
- 16 break (inaudible).
- [Off the record.]
- 18 INMATE NGO: Thank you. I know there's
- 19 nothing I can say or do at this point to bring
- 20 back Mr. Gonzales. I know his family is probably
- 21 going through a lot of pain, but I was young and I
- 22 was ignorant, naïve. I made bad judgment.
- 23 There's nothing I can say to bring him back, Sir.
- 24 If sorry would help, but hopefully one day they'll
- 25 find it in their heart to forgive me.
- 26 PRESIDING COMMISSIONER ANGELE: Any
- 27 questions?

1	DEPUTY DISTRICT ATTORNEY LAIRD: Yes. I
2	appreciate that answer from Mr. Ngo. I have just
3	a couple of follow up questions to the Board
4	regarding the Tiger Mafia. Because it is printed
5	in several pieces of documentation including the
6	probation report as well as elsewhere that at
7	about the time of the murder he was affiliated
8	with a group called the Tiger Mafia. And I'm
9	aware and I can point to a picture here on the
10	table that dealt with search warrants and items
11	that were obtained and seized during the time of
12	this crime. And I've seen and become aware of
13	several drawings, that are drawings, handwritten
14	drawings of a tiger, which I'm making the
15	assumption refers to the Tiger Mafia. And I'm
16	just trying to get a clarification of what that
L7	group was and why the inmate put the tattoo on his
18	arm for TM or Tiger Mafia.
19	ATTORNEY FOX: I'd like to pose an
20	objection to the extent that the District
21	Attorney was testifying. If there is a
22	question regarding the Tiger Mafia, I think it
23	might be asked as a direct question rather
24	than a litany of what the District Attorney
25	has seen.
6	PRESIDING COMMISSIONER ANGELE: 1'11
7	overrule your objection. I don't believe he was

- 1 testifying. I believe the question has already
- 2 been asked and answered. The Tiger Mafia,
- 3 according to the inmate, was made up and the
- 4 initials on his arm stood for the initials of an
- 5 ex-girlfriend. Do you still state that?
- 6 INMATE NGO: Yes, Sir.
- 7 PRESIDING COMMISSIONER ANGELE: So there
- 8 never was a group called the Tiger Mafia?
- 9 INMATE NGO: No. It's just a made-up name.
- 10 DEPUTY COMMISSIONER RODRIGUEZ: Are those
- 11 drawings from ---
- 12 DEPUTY DISTRICT ATTORNEY LAIRD: I do not
- 13 know. I do not know. I just used it as a purpose
- of illustration just because I've, in reviewing
- 15 the file I've seen some pictures of tigers. I see
- 16 a tattoo. So I'm just asking by illustrating what
- 17 I've seen if there was any connection to the Tiger
- 18 Mafia.
- 19 INMATE NGO: If you want clarification what
- 20 the tattoo of the tiger is, all the Fullerton Boyz
- 21 has a tiger on his chest. It's not because of the
- 22 Tiger Mafia. It's Fullerton Boyz has a tiger on
- 23 his chest, all of us.
- 24 DEPUTY DISTRICT ATTORNEY LAIRD: Thank you.
- 25 PRESIDING COMMISSIONER ANGELE: Anything
- 26 further?
- 27 **DEPUTY DISTRICT ATTORNEY LAIRD:** Nothing

- 1 further.
- 2 PRESIDING COMMISSIONER ANGELE: Ms. Fox,
- 3 questions of your client.
- 4 ATTORNEY FOX: Just briefly. When you were
- 5 found in possession of cocaine, when the police
- 6 officer stopped you.
- 7 **INMATE NGO:** Yes.
- 8 ATTORNEY FOX: They found the cocaine in a
- 9 purse, right?
- 10 INMATE NGO: I'm not sure where they found
- 11 it. I think it was underneath the seat,
- 12 underneath the seat when they found it. It wasn't
- in no purse at all.
- 14 ATTORNEY FOX: Okay, that was my question.
- 15 If it was in the purse how did it get there. But
- 16 it's your testimony you didn't put it there?
- 17 INMATE NGO: No, if anything, if I remember
- 18 correctly I put it under the seat. If it also
- 19 found it'd be under the seat.
- 20 ATTORNEY FOX: So it wasn't your mother's
- 21 cocaine?
- 22 INMATE NGO: No. It was mine. I bought it.
- 23 ATTORNEY FOX: Okay, I have no further
- 24 questions.
- 25 PRESIDING COMMISSIONER ANGELE: Okay, can we
- 26 go into closing please, from the District
- 27 Attorney, Mr. Laird?

1	DEPUTY DISTRICT ATTORNEY LAIRD: Yes, I
2	have, I've been prosecuting gang cases in my
3	office for approximately five years. I know Robin
4	Parks, she's a colleague of mine. I have tried a
5	case just like a case like this in terms that the
6	type of theory that is presented in terms of a
7	gang, group attack that results in a death. I
8	would indicate that Mr. (inaudible) who I also
9	know is, or who was the Public Defender at the
10	time, and is still a public defender representing
11	his client, basically is not talking about any
12	sort of novel or unique theory that is applied in
13	Orange County in terms of finding the defendant
14	guilty of murder by virtue of being involved in
15	gang related activity and aiding and abetting in a
16	fight on a victim that results in a murder. I
17	would note that the seriousness of the crime,
18	basically is well spoken for in the probation
19	report by Robin Park. It's also well spoken for
20	by the fact that a jury did return a first degree
21	murder verdict on this case and that there was a
22	subsequent plea apparently between the District
23	Attorney in exchange for not raising any appellate
24	rights on the case. It is my opinion that based
25	on, well I'll just withdraw, I won't make any
26	comments regarding the nature of why that plea
27	took place because I personally have not been able

- 1 to find the precise material that refers to that.
- 2 However, I would just point to the gravity of the
- 3 offense in urging what we have urged in our
- 4 May 3rd, 2002, letter in that we believe that at
- 5 this point Mr. Ngo is not suitable for parole.
- 6 And it's largely based on the heinousness of the
- 7 crime in that it involved an extremely vulnerable
- 8 victim at the time of the group attack. It
- 9 involved a picture, an accurate picture of gang
- 10 subculture with respect to the roles of guns and
- 11 how guns are brought to a conflict for backup.
- 12 And that in the gang subculture any type of
- 13 escalation by rival gangs is basically a form of
- 14 disrespect which must be answered to. And that by
- 15 virtue of the victim answering with blows to
- 16 Mr. Ngo at the time, back in 1990, literally
- 17 1990's, it was just a right condition for an
- 18 escalation of a conflict wherein the gun would be
- 19 used. The People have a concern also regarding
- 20 the modus operandi of Asian gangs in this state in
- 21 that their activity is not as overt in the
- 22 community. They appear to be less overt regarding
- 23 their gang affiliation and it's hard to look at
- 24 the inmate essentially with a crystal ball at this
- 25 time. We're forced to look back at past conduct
- 26 that has happened which I've described above.
- 27 It's my belief that the inmate's account about the

- 1 gun and the use of the gun at the crime is
- 2 somewhat unreasonable in that he indicates that
- 3 the reason they had a gun was for protection and
- 4 they were laying in wait or lying in wait for the
- 5 victim to come out of school. At that time, they
- 6 knew they had had a conflict earlier at the
- 7 McDonald's. They didn't know whether the victim
- 8 was armed or not or whether the victim's cohort
- 9 was armed or not. And it seems unreasonable that
- 10 this defendant would not know that the gun was
- 11 taken out of the car in concert with the rest of
- 12 the suspects who ended up attacking victim
- 13 Gonzales. And we just believe based on all the
- 14 psychiatric reports and based on the limited
- 15 contact that the experts have had with the inmate
- 16 that there needs to be a longer test of time
- 17 before this inmate is found suitable for a parole
- 18 date and I thank you very much for your time.
- 19 PRESIDING COMMISSIONER ANGELE: Thank you.
- 20 Ms. Fox.
- 21 ATTORNEY FOX: Thank you. I respectfully
- 22 disagree with my colleague from Orange County. I
- 23 think it would be appropriate to set a date for
- 24 Mr. Ngo today. Before the Panel is a man who has
- 25 matured in the prison system. Today he displayed
- 26 genuine remorse, which has been a hallmark of his
- 27 existence in the Department of Corrections. Going

- 1 back to the probation report, which was used for
- 2 the Statement of Facts over my objection.
- 3 Consistently throughout there, that report Mr. Ngo
- 4 is cited for having stated that he did not know
- 5 that the weapon is in the car for any long period
- 6 of time before it was used and today he spoke what
- 7 he thought the use to which that weapon would be
- 8 put. It would be used in self-defense. Hindsight
- 9 is 20/20. He can't go back and change what
- 10 happened. Facts is facts. And I think the fact
- 11 that he stands convicted of a murder two, not
- 12 murder one, is a significant finding. Turning to
- 13 his institutional adjustment, he has minimal
- 14 disciplinary history, and that's consistent with
- 15 his personality prior to the time he came into the
- 16 Department of Corrections with this one very
- 17 tragic situation. He has improved himself to the
- 18 extent that he's able to within the Department of
- 19 Corrections having achieved two completed
- 20 vocations, sustained attendance at AA, good work
- 21 reports, and he continues to enjoy a sustained
- 22 excellent family support system out there. In the
- 23 psych report it's important to note that he does
- 24 have a Global Assessment score of 90, which would
- 25 let us know that he is able to cope with life on
- 26 the streets in a non-destructive manner. And he's
- 27 done that and it's demonstrable or has been

- demonstrable since he's been incarcerated. I
- 2 believe both psych reports accurately describe
- 3 Mr. Ngo, and he is ready for parole. The plans
- 4 are excellent. And if the Board is disinclined to
- 5 find him suitable for parole today, I'd suggest a
- 6 one or two year denial to allow him time to get
- 7 into the Impact program and pursue any other
- 8 self-help and anger management programs that the
- 9 Board would find useful. So we'll submit based on
- 10 that unless Mr. Ngo has something he'd like to
- 11 say.
- 12 PRESIDING COMMISSIONER ANGELE: Thank you.
- 13 Mr. Ngo, now it's your turn to make a statement to
- 14 the Panel regarding your parole suitability.
- 15 Before we do that, I just want to add one thing.
- 16 When I read the Statement of Facts from the
- 17 probationary report when we first started the
- 18 hearing, you indicated to me that that was the way
- 19 it happened?
- 20 INMATE NGO: Yes.
- 21 PRESIDING COMMISSIONER ANGELE: Thank you.
- 22 All right, do you need to make a statement or we
- 23 can end this hearing on what your attorney has
- 24 said on your behalf.
- 25 INMATE NGO: I just say, honestly I'm sorry
- 26 for what I've done. I accept the fact that, you
- 27 know, because of my ignorance that a human life

	5 0
1	was lost. And, you know, I made a mistake.
2	There's nothing I want more than to change what
3	happened. I'm sorry, but I just don't know what I
4	can say anymore. That's all I guess.
5	PRESIDING COMMISSIONER ANGELE: Okay. Thank
6	you for your comments. We'll recess for
. 7	deliberations.
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1	CALIFORNIA BOARD OF PRISON TERMS
2	DECISION
3	DEPUTY COMMISSIONER RODRIGUEZ: We're back
4	on record, all parties are present.
5	PRESIDING COMMISSIONER ANGELE: In the
6	matter of Sieu Ngo, that's N-G-O. Mr. Ngo, the
7	Panel has reviewed all information received from
8	the public and relied on the following
9	circumstances in concluding that you are not
10	suitable for parole and would pose an unreasonable
11	risk of danger to society or a threat to public
12	safety if released from prison. The offense was
13	carried out in an exceptionally cruel, callous,
14	violent and brutal manner. The offense was
15	carried out in a manner which demonstrates an
16	exceptionally callous disregard for human
17	suffering and life. And the motive for the crime
18	was inexplicable or very trivial in relation to
19	the offense. These conclusions were drawn from
20	the Statement of Facts wherein the inmate and his
21	crime partners, after altercation with the victim,
22	armed themselves with a weapon, went to the high
23	school where the victim, one 15 year old Angel
24	Gonzales went to school, and waited for him to get
25	out of school. The inmate and one of his crime
26	partners then approached Mr. Gonzales and a friend
27	SIEU PHONG NGO J-07024 DECISION PAGE 1 05/13/02

- of his, each getting in a fight with one of them.
- 2 At which time both the inmate and his other crime
- 3 partner, both got into a fight with Mr. Gonzales.
- 4 At the same time three other crime partners
- 5 jumping in and attacking Mr. Gonzales who was shot
- 6 and killed after he was on the ground, by one of
- 7 the other crime partners. The prisoner has on a
- 8 previous occasion has attempted to inflict injury
- 9 upon a victim. This has to do with another fight
- in which he was involved in a one-on-one, which he
- 11 got the better of the fight and knocked the victim
- down and then his crime partners jumped in and all
- 13 started beating the victim. The inmate does have
- 14 an escalating pattern of criminal conduct, albeit
- 15 minor. However, it does include the possession of
- 16 rock cocaine. The inmate has received during his
- incarceration no 115 disciplinary reports, which
- is a very positive step. He's received two 128(a)
- 19 counseling chronos. 4/1/97, failure to respond to
- a medical ducat and 2/11/00, for window coverings.
- 21 Psychological evaluation dated 1/23/02, by initial
- 22 C. Saindon, S-A-I-N-D-O-N, would indicate that the
- 23 inmate, or would be favorable to the inmate. The
- 24 inmate does have parole plans. He does have
- 25 residential plans in the last county of legal
- 26 residence, also in Los Angeles County. And does
- 27 SIEU PHONG NGO J-07024 DECISION PAGE 2 05/13/02

- 1 have acceptable job offers. The hearing Panel
- 2 notes that responses to 3042 notices indicate
- 3 opposition to a finding of parole suitability,
- 4 specifically the District Attorney of Orange
- 5 County. The Panel makes the following findings:
- 6 that the inmate needs additional time in order to
- 7 fully understand and deal with the causation
- 8 factors that led to the commitment of the life
- 9 crime. Until progress is made, the prisoner
- 10 continues to be unpredictable and a threat to
- 11 others. Nevertheless, he should be commended for
- 12 his participation in NA, for his participation in
- the 12-steps, for his completing vocational auto
- 14 upholstery and auto refinishing. In addition, to
- 15 his being 115-free during his incarceration and
- 16 for completing the Keys to Fatherhood a
- 17 salesmanship course, and the tuberculosis and
- 18 hepatitis courses. However, these positive
- 19 aspects of his behavior do not outweigh the
- 20 factors of unsuitability. In a separate decision,
- 21 the hearing Panel finds that it is not reasonable
- 22 to expect that parole would be granted at a
- 23 hearing during the following two years. The
- 24 specific reasons for the findings are as follows:
- 25 the prisoner committed the offense in an
- 26 exceptionally cruel, callous, violent, brutal
- 27 SIEU PHONG NGO J-07024 DECISION PAGE 3 05/13/02

1 Specifically, he and his crime partners, manner. 2 after one of his crime partners was involved in a 3 fight with the victim, left, armed themselves with 4 a weapon, and then went back to the school area 5 where the victim had gone to school, waited for 6 the victim to get out of school. The inmate and 7 one of his crime partners then got involved in a 8 one-on-one fight with the victim and one of his 9 friends. After which, the inmate and his crime 10 partner both began fighting with the victim. 11 were then joined in by two other individuals who 12 were beating the victim, knocked to the ground. 13 One of the crime partners shot and killed the 14 victim, one Angel Gonzales, age 15. The offense 15 was carried out in an exceptionally cruel and 16 callous manner. The motive for the crime was 17 inexplicable or very trivial in relation to the 18 offense. The prisoner had been involved in 19 previous violent behaviors, which included once 20 again, a fistfight with an individual who he got 21 the better of and knocked down and after which 22 time three of his crime partners and him all began continuing to strike the victim. The correctional 23 24 counselor's report dated (inaudible) 2002, by 25 initial M. Rubio, R-U-B-I-O, indicates a degree of 26 threat as moderate if released to the public. 27 SIEU PHONG NGO J-07024 DECISION PAGE 4 05/13/02

- 1 Therefore, a longer period of observation and
- 2 evaluation of the prisoner is required before the
- 3 Board should find the prisoner is suitable for
- 4 parole. The Panel recommends that inmate remain
- 5 disciplinary-free. If available, upgrade any way
- 6 you can, vocation, education. If available,
- 7 participate in self-help and therapy programming.
- 8 That does conclude the reading of the decision.
- 9 Any comments, Commissioner Rodriguez?
- 10 DEPUTY COMMISSIONER RODRIGUEZ: Yeah,
- 11 Mr. Ngo, one thing I think you took more of a
- 12 leadership role in this incident and I think
- 13 you've been a little bit less than truthful today.
- 14 If I'm wrong and what you stated today is the
- 15 truth, I don't expect you to change your story
- 16 just for the Board's panel. But I truly would
- 17 like you to take this next two years, as far as
- 18 I'm certain you're programming well, don't
- 19 misunderstand me. But I think there's more to the
- 20 incident than you're actually telling us today.
- 21 Whether you just don't want to say it or you
- 22 choose not to say it or throughout the years
- 23 you've made yourself believe what you've said in
- 24 the past is correct. But I think if you look deep
- 25 down, I truly believe that you took more of a
- 26 leadership role and that possibly you did have,
- 27 SIEU PHONG NGO J-07024 DECISION PAGE 5 05/13/02

- 1 know more about that weapon. So I want you to
- 2 think about that. But, again, like I said, if I
- 3 am incorrect, I don't expect you to come to the
- 4 Board next time and say you did do it if you
- 5 didn't. But I will ask you one more time, did you
- 6 know more about that gun?
- 7 INMATE NGO: (Inaudible) not until I looked
- 8 under the car. When I got into the car, that's
- 9 the first time I seen the gun, Sir.
- 10 DEPUTY COMMISSIONER RODRIGUEZ: Did you plan
- 11 the assault or the incident?
- 12 INMATE NGO: No, Sir.
- DEPUTY COMMISSIONER RODRIGUEZ: Okay. Thank
- 14 you.
- 15 ATTORNEY FOX: I'm sorry. That's vague,
- 16 because he did earlier say he planned to fight
- 17 him, but not shoot him.
- 18 INMATE NGO: Thank you.
- 19 DEPUTY COMMISSIONER RODRIGUEZ: Okay, no, no
- 20 that's all right counselor. Thank you. And I
- 21 just want to wish you the best of luck.
- 22 PRESIDING COMMISSIONER ANGELE: Mr. Ngo, let
- 23 me tell you, you know, what disturbs me is the
- 24 other fight you had and we're very familiar with
- 25 the gang mentality. Regardless of whether or not
- 26 you beat somebody, going to beat somebody, the end
- 27 SIEU PHONG NGO J-07024 DECISION PAGE 6 05/13/02

- 1 result is everybody jumps on a guy when he's down.
- 2 It happened in another fight you had. I think
- 3 your statement was, you couldn't be responsible
- 4 for what they do, but I'll tell you very frankly
- 5 if it was one of your crime partners involved in
- 6 that, you'd of jumped in just like everybody else
- 7 did. That's the way things are. We both know
- 8 that.
- 9 INMATE NGO: Yes, it is.
- 10 PRESIDING COMMISSIONER ANGELE: It disturbs
- 11 me that the killing of Mr. Gonzales was the same
- 12 type of situation. The only difference is
- 13 somebody had a gun there. So the history that you
- 14 started to accumulate would indicate to me that
- 15 the gang mentality that has no respect at all for
- 16 humanity, you know what happens next?
- 17 INMATE NGO: That's when I was young, Sir.
- 18 PRESIDING COMMISSIONER ANGELE: I realize
- 19 that. I realize that. And the reason why I
- 20 brought that up is I'd really like to see you get
- 21 into that anger management program here.
- 22 Hopefully, they'll get it out for you or you'll
- 23 get into it soon and maybe the next time you'll
- 24 have it under your belt. I figure it will help.
- 25 And I don't want this to discourage you. You're
- 26 doing a good job. And for an initial hearing,
- 27 SIEU PHONG NGO J-07024 DECISION PAGE 7 05/13/02

1	your counsel knows, that two years is not a bad
2	thing. So I do wish you good luck.
3	INMATE NGO: Thank you, Sir.
4	PRESIDING COMMISSIONER ANGELE: That does
5	conclude the hearing. Time is approximately 3:52
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25	PAROLE DENIED TWO YEARS JUN 1 0 2002
26	EFFECTIVE DATE OF THIS DECISION
27	SIEU PHONG NGO J-07024 DECISION PAGE 8 05/13/02

CERTIFICATE AND

DECLARATION OF TRANSCRIBER

I, VALERIE C. LORD, a duly designated transcriber, CAPITOL ELECTRONIC REPORTING, do hereby declare and certify under penalty of perjury that I have transcribed tape(s) which total one in number and cover a total of pages numbered 1 through 64, and which recording was duly recorded at CORRECTIONAL TRAINING FACILITY, AT SOLEDAD, CALIFORNIA, in the matter of the INITIAL PAROLE CONSIDERATION HEARING of SIEU PHONG NGO, CDC No. J-07024, on MAY 13, 2002, and that the foregoing pages constitute a true, complete, and accurate transcription of the aforementioned tape(s) to the best of my ability.

I hereby certify that I am a disinterested party in the above-captioned matter and have no interest in the outcome of the hearing.

Dated May 23, 2002, at Auburn, California.

Valerie C. Lord

Transcriber

CAPITOL ELECTRONIC REPORTING

EXHIBIT C

SUBSEQUENT PAROLE CONSIDERATION HEARING STATE OF CALIFORNIA BOARD OF PRISON TERMS

In the matter of the Life Term Parole Consideration Hearing of:

SIEU NGO

CDC Number J-07024

CORRECTIONAL TRAINING FACILITY

SOLEDAD, CALIFORNIA

AUGUST 3, 2004

1:15 P.M.

PANEL PRESENT:

BOOKER WELCH, Presiding Commissioner ROLANDO MEJIA, Deputy Commissioner

OTHERS PRESENT:

SIEU NGO, Inmate DAVID SPOWART, Attorney for Inmate JENNIFER CONTINI, Deputy District Attorney

CORRECTIONS TO THE DECISION HAVE BEEN MADE

No See Review of Hearing
Yes Transcript Memorandum

Wendy Thomas, Capitol Electronic Reporting

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7 PROCEEDINGS 2 DEPUTY COMMISSIONER MEJIA: We are now on 3 the record. 4 PRESIDING COMMISSIONER WELCH: Okay, good 5. afternoon, Mr. Ngo. 6 INMATE NGO: Good afternoon. 7 PRESIDING COMMISSIONER WELCH: Sir, this is 8 a Subsequent Parole Consideration Hearing. I'll 9 read your case factors into the record. The 10 prisoner's name is Ngo, N-G-O. How do you 11 pronounce your first name? 12 INMATE NGO: Sieu. 13 PRESIDING COMMISSIONER WELCH: 14 S-I-E-U, CDC number J-07024. The date of the hearing is Tuesday, August 3rd, 2004, the time is 15 16 approximately 1:15. The location is Correctional 17 Training Facility, Soledad. The prisoner was 18 received CDC on 2-1, 1994 from Orange County for 19 the offense of murder second, case number C99109, 20 count one, Penal Code violated 187, total term 16 21 years to life, minimum eligible parole date was 22 5-24-03. Sir, this hearing will be tape-recorded 23 so for the purpose of voice identification, we'll 24 need to go around the room and identify ourselves 25 stating our full name, spelling our last name. 26 your case, you need to add your CDC number. I'll 27 start with myself, go to my left. My name is

- 1 Booker Welch, W-E-L-C-H. Mr. Mejia.
- 2 DEPUTY COMMISSIONER MEJIA: Rolando Mejia,
- 3 M-E-J-I-A, Deputy Commissioner.
- 4 DEPUTY DISTRICT ATTORNEY CONTINI: Jennifer
- 5 Contini, C-O-N-T-I-N-I, Deputy District Attorney,
- 6 Orange County.
- 7 ATTORNEY SPOWART: David Spowart,
- 8 S-P-O-W-A-R-T, attorney for Mr. Ngo.
- 9 INMATE NGO: Sieu Ngo, S-I-E-U N-G-O, CDC
- 10 number J-07024.
- 11 PRESIDING COMMISSIONER WELCH: Okay, sir,
- 12 that identifies everyone in the room with the
- 13 exception of the correctional peace officer who is
- 14 seated directly behind you, two of them, and
- 15 they're here for security purposes only and will
- 16 not be taking part in today's proceedings. Mr.
- 17 Ngo, are you familiar with the American with
- 18 Disabilities law?
- 19 INMATE NGO: No, I'm not, Sir.
- 20 PRESIDING COMMISSIONER WELCH: Well, that
- 21 form in front of you, it will give you a good
- 22 overview. Do you mind reading that into the
- 23 record.
- 24 INMATE NGO: "ADA American with
- 25 Disability Act. Americans with
- Disability Act (ADA) is a law to help
- 27 people with disabilities.

1 Disabilities are problems that make 2 it harder for some people to see, 3 hear, breathe, talk, walk, learn, 4 think, work, or take care of 5 themselves than it is for others. 6 Nobody can be kept of public places 7 or activities because of a 8 disability. If you have a 9 disability, you have the right to ask 10 for help to get ready for your BPT . 11 hearing to get to the hearing, talk, 12 read forms and papers, and understand 13 the hearing process. BPT will look 14 at what you ask for to make sure that 15 you have a disability that is covered 16 by the ADA and that you have asked 17 for the right kind of help. If you 18 do not get help or if you don't think 19 you've got the kind of help you need, 20 ask for a BPT 1074 Grievance Form. 21 You can also get help to fill it 22 out." 23 PRESIDING COMMISSIONER WELCH: Very good. 24 Mr. Ngo, does that give you a little bit better 25 overview of what the American with Disabilities 26 law is all about? 27 INMATE NGO: Yes. Yes, Sir.

1	PRESIDING COMMISSIONER WELCH: Now after
2	being armed with that knowledge, let me ask you,
3	do you have a disability as defined under the
4	American Disabilities Act?
5	INMATE NGO: No, Sir.
6	PRESIDING COMMISSIONER WELCH: I see you
7	have glasses, do you need those to read?
.8	INMATE NGO: I'm nearsighted.
9	PRESIDING COMMISSIONER WELCH: Nearsighted,
LO	okay. You have no problems talking, reading here,
L1	or walking, is that correct? You were able to
L2	walk over under your own power today?
13	INMATE NGO: Yes, Sir.
L 4	PRESIDING COMMISSIONER WELCH: You have a
L5	12.3 overall TABE grade point level which would
16	indicate to the Board that you're totally capable
L7	of reading and understanding the documents
L8	necessary to prepare for this hearing, is that
L9	correct?
20	INMATE NGO: Correct, Sir.
21	PRESIDING COMMISSIONER WELCH: Have you
22	received any mental health treatment within the
23	last 12 months?
24	INMATE NGO: No, Sir.
25	PRESIDING COMMISSIONER WELCH: Counselor, do
26	you have any concerns about your client's rights
7	are it wellstoo to the American with Disphilities

1	law?
2	ATTORNEY SPOWART: I have none.
3	PRESIDING COMMISSIONER WELCH: Okay, then
4	we'll proceed with this hearing. The purpose of
5	today's hearing is to once again to consider your
6	suitability for parole. We will consider the
7	nature and the number of the crimes you were
8	committed for, your prior criminality, your social
9	history, and your behavior and programming since
LO	your commitment. We've had an opportunity to
l1	review your C-File and your prior transcripts and
L2	you'll have an opportunity to make corrections or
L3	clarifications to the record. We will consider
L4	your progress since your last hearing, any new
15	psychiatric reports, and any other information
16	that may have a bearing on your suitability for
17	parole. Any change in parole plans should be
18	brought to our attention. Before we recess for
19	deliberations, the District Attorney, your
20 .	attorney, and yourself will be given the
21	opportunity to make a final statement regarding
22	your suitability for parole and the length of
23	confinement. After that's done, we will recess,
24	clear the room, and deliberate. Once we reached a
25	decision, we will reconvene and at that time we'll
26	let you know what our decision is. Do you

understand all that so far?

	,
1	INMATE NGO: Yes, Sir.
2	PRESIDING COMMISSIONER WELCH: Now the Board
3	of Prison Terms rules and the law basically state
4	that a parole date shall be denied you if in the
5	opinion of the Commissioners your parole would
6	pose an unreasonable risk of danger to others.
7	However, prisoners do have certain rights.
8	Counsel, have your client's rights been met?
9	ATTORNEY SPOWART: Yes, they have.
10	PRESIDING COMMISSIONER WELCH: Mr. Ngo, you
11	have an additional right, you have the right to a
12	fair and impartial panel. Do you have any reason
13	to believe the Panel Members will not be fair and
14	impartial to you?
15	INMATE NGO: I would like to reserve that
16	right towards the end of this hearing.
17	PRESIDING COMMISSIONER WELCH: Okay. Mr.
18	Ngo, that's fine, I don't have a problem with
19	that, but I do need to tell you that I have no
20	reason not to give you a fair hearing. I have
21	every intention to make sure that your due process
22	rights are adhered to. I've already covered your
23	ADA issues and made sure you didn't have any
24	issues with that. I'll be going over your due
25	process right issues to insure that you don't have
26	a problem with your rights. And during the

hearing, it is -- I have every intention to insure

- 1 that you receive a fair and impartial hearing.
- 2 Commissioner, do you have any reasons why you
- 3 can't give the prisoner a fair hearing?
- 4 DEPUTY COMMISSIONER MEJIA: No. I'm
- 5 objective and I take the case on a case by case
- 6 basis, no predetermined opinion or belief for
- 7 suitability or unsuitability. I'll base all my
- 8 decision based on what I hear here and also what's
- 9 reflected in his file.
- 10 PRESIDING COMMISSIONER WELCH: Okay, very
- 11 good. Sir, you will receive a copy of our written
- 12 tentative decision today. The decision becomes
- 13 effective in 120 days. Mr. Ngo, you no longer
- 14 have a right to administrative appeals process, do
- 15 you understand that?
- 16 INMATE NGO: Yes, I do.
- 17 PRESIDING COMMISSIONER WELCH: Okay.
- 18 INMATE NGO: The 1040 you mean?
- 19 PRESIDING COMMISSIONER WELCH: Yes. You're
- 20 not required to discuss the offense today, you're
- 21 not required to admit to guilt. However, the
- 22 Panel Members accept as true the findings of the
- 23 court. Do you understand that concept?
- 24 INMATE NGO: Yes, I do.
- 25 PRESIDING COMMISSIONER WELCH: Counselor,
- 26 pardon me?
- 27 INMATE NGO: I would like to say that I will

- 1 not be talking about -- discussing my case, my
- 2 case today under Penal Code section 5011. And
- 3 before we go any further, I would like to submit
- 4 this memorandum as evidence in support of my
- 5 parole suitability to you.
- 6 PRESIDING COMMISSIONER WELCH: Okay, I'll
- 7 take it at this time, but you're getting a little
- 8 ahead of the game, we were going to give you an
- 9 opportunity. That's fine we'll accept it now,
- 10 how's that?
- 11 INMATE NGO: Thank you.
- 12 PRESIDING COMMISSIONER WELCH: Okay, very
- 13 good. And certainly you do not have to talk or
- 14 admit to the crime. Very good. Commissioner, is
- 15 there any confidential information to be used
- 16 today?
- 17 DEPUTY COMMISSIONER MEJIA: None.
- 18 PRESIDING COMMISSIONER WELCH: Good.
- 19 Counselor, I passed you a list of the documents we
- 20 will be working from. Do you have those
- 21 documents?
- 22 ATTORNEY SPOWART: Yes, I have these.
- 23 PRESIDING COMMISSIONER WELCH: District
- 24 Attorney, do you have those documents?
- 25 DEPUTY DISTRICT ATTORNEY CONTINI: Yes, I
- 26 do.
- 27 PRESIDING COMMISSIONER WELCH: Counselor, do

- 1 you have any additional documents to submit? Your
- 2 counsel have already -- I mean, your client have
- 3 already submitted a brief.
- 4 ATTORNEY SPOWART: He's already given me
- 5 that brief, he says the first 10 pages are an
- 6 overview of why he should be considered.
- 7 PRESIDING COMMISSIONER WELCH: Why he should
- 8 be considered for parole.
- 9 INMATE NGO: Because it would clarify my
- 10 position at this hearing today, Sir.
- 11 PRESIDING COMMISSIONER WELCH: Okay, then we
- 12 will review that during deliberations, we'll go
- 13 through and review that.
- 14 INMATE NGO: All right, Sir.
- 15 PRESIDING COMMISSIONER WELCH: Very good,
- 16 very good. Any other documents to submit?
- 17 ATTORNEY SPOWART: No, I haven't.
- 18 PRESIDING COMMISSIONER WELCH: Any
- 19 preliminary objections?
- 20 ATTORNEY SPOWART: Yeah, I have an
- 21 objection. This was a plea agreement and I have
- 22 an objection to the District Attorney being here
- 23 today on a recent Supreme Court ruling that
- 24 classifies a plea agreement as a contract. I know
- 25 that my client was not the shooter, he had no
- 26 criminal prior record, and has had an excellent
- 27 performance since he's been in here, so.

1	PRESIDING COMMISSIONER WELCH: What does
2	that have to do with the District Attorney being
3	here?
4	ATTORNEY SPOWART: That the District
5	Attorney is breaking the contract (inaudible).
6	When he pled, he gave up certain rights. The
7	People got certain benefits from that.
8	PRESIDING COMMISSIONER WELCH: Okay.
9	ATTORNEY SPOWART: They got their benefits.
10	Now they're just trying to deny him of his
11	benefits.
12	PRESIDING COMMISSIONER WELCH: Okay, all
13	right, I think I understand.
14	ATTORNEY SPOWART: (Inaudible).
15	PRESIDING COMMISSIONER WELCH: Okay.
16	ATTORNEY SPOWART: I just want it on the
17	record, Commissioner.
1.8	PRESIDING COMMISSIONER WELCH: Okay,
19	absolutely, absolutely. I'm going to overrule
20	that objection because according to Penal Code
21	3042, section 3042 that is, the District Attorney
22	or his representative have a right to appear and
23	represent The People's interest at these hearings.
24	If not the District Attorney, certainly the
25	Attorney General, he can appear depending on who
26	prosecuted the case or has a major interest in the
27	case, not one or both, but only one can appear.

- In this case, The People -- the District Attorney
- 2 of Orange County have opted to send his
- 3 representative, i.e., the Deputy District Attorney
- 4 from Orange County here to represent the District
- 5 Attorney. Therefore, per statute, per Penal Code,
- 6 I'll have to overrule that objection, okay. All
- 7 righty, thank you very much. Any other
- 8 objections?
- 9 **ATTORNEY SPOWART:** I have none.
- 10 PRESIDING COMMISSIONER WELCH: All right,
- 11 thank you, Mr. Spowart. Okay, and your client
- will not be speaking to us today?
- 13 ATTORNEY SPOWART: Not on the life crime, he
- 14 pled on the crime.
- 15 PRESIDING COMMISSIONER WELCH: Will he be
- 16 speaking -- will he be giving any testimony today?
- 17 ATTORNEY SPOWART: Yes, he'll talk about
- 18 everything else.
- 19 PRESIDING COMMISSIONER WELCH: Okay, Mr.
- 20 Ngo, would you raise your right hand.
- 21 INMATE NGO: Yes, Sir.
- 22 PRESIDING COMMISSIONER WELCH: Do you
- 23 solemnly swear or affirm that the testimony you
- 24 give today will be the whole truth and nothing but
- 25 the truth?
- 26 INMATE NGO: Yes, I do, Sir.
- 27 PRESIDING COMMISSIONER WELCH: Okay. In

. 1	that case what I'm going to do, Mr. Ngo, is just
2	go to let me give this to my colleague, he can
. 3	take a look at it and then I'll take a look at it
4	later. Are your parole plans in that brief you
5	submitted?
6	INMATE NGO: Yes, Sir.
7	PRESIDING COMMISSIONER WELCH: You've got
8	everything in it, okay.
9	INMATE NGO: Parole plan, place for
10	residence, employment, support letters.
11	PRESIDING COMMISSIONER WELCH: Okay, very
12	good. Let's go to the summary of the crime, it
13	say,
14	"On 9-18, 1992 Angel Gonzalez,
15	G-O-N-Z-A-L-E-Z, was beaten and shot
16	to death near Fullerton,
17	F-U-L-L-E-R-T-O-N, High School as he
18	walked home after school. An
19	investigation revealed that earlier
20	in the day the victim, a member of
21	the Fullerton Tokers, T-O-K-E-R-S,
22	Town, a Latin gang, and members of
23	the Fullerton Boys, an Asian gang,
24	were at a McDonald's Restaurant near
25	the high school. The victim and
26	Usumang Muhamed had a confrontation
27	with each claiming their respective

Τ	gang allitiations. After a
2	nonphysical altercation, a group of
3	Asians, which at the time included
4	Sieu Phong Ngo obtained and middle
5	name is spelled P-H-O-N-G, referring
6	to the prisoner, obtained a firearm.
7	Ngo and the Asian gang members
8	returned to the school where they
9	waited for Gonzalez. As he walked
LO	home, he was attacked and beaten.
11	During the physical altercation, the
L2	victim was shot one time in the back
L3	by Usumang, that's U-S-U-M-A-N-G,
L 4	Muhamed, M-U-H-A-M-E-D. The group of
15	five Asian gang members including Ngo
L6	fled the area after shooting Angel
L7	Gonzalez who died at the scene as a
18	result of the gunshot wound. Ngo,
19	Jimmy Bao, B-A-O, and Asat, A-S-A-T,
20	Cham, C-H-A-M,"
21	or is that Ham? C-H-A?
22	INMATE NGO: Cham.
23	PRESIDING COMMISSIONER WELCH: " Cham,
24	C-H-A-M, fled to the State of Washington. They
25 .	were subsequently apprehended there and the murder
26.	weapon, a stolen .22 caliber handgun, was
27	recovered in the vehicle." Now we'll go to the

Т.	prisor	ner's version,
2	•	"Ngo explained that earlier in the
3		day his group had a confrontation
4		with the victim. Subsequently he and
5		his group went to an arcade to play
· 6		games. At that time his companion
7		took possession of a weapon. Ngo
8		stated that he did not see the gun
9		until he was in the vehicle. It was
10		located under the passenger's seat
11		and he and his companion returned to
12		Fullerton High School where they
13		parked and went to look for Gonzalez,
14		the victim. Ngo explained that they
15		had a gun for protection in case
16		someone else happened to have a
17		weapon. They found Gonzalez and his
18		group and started fighting with the
19		victim. During the fighting Ngo
20	Y	heard two shots. Ngo explained that
21		no one intended to kill the victim,
22		they just planned to beat him up
23		because the victim had told them to
24		get out of town and that made Muhamed
25		angry. Ngo realized that his
26		behavior was a mistake. Ngo drove
27		his two companions to Washington

1	because Muhamed told him that he knew
2	someone who would give them shelter.
3	Ngo feels sorry for the victim's
4	family."
5	Juvenile record, there's no juvenile arrest. Wil
6	you be talking about your juvenile record, I mean
7	your criminal history?
8	INMATE NGO: I have no juvenile record. I
9	have one drug diversion with a controlled
LO ·	substance. I was given a diversion but I was
11	never able to complete it
12	PRESIDING COMMISSIONER WELCH: Okay.
L3	INMATE NGO: because I got this case,
L 4	that's about it.
15	PRESIDING COMMISSIONER WELCH: It says, no
16	juvenile record as previously noted. On 3-30,
L7	1992 Ngo was arrested by the San Gabriel Police
L 8	Department for possession of controlled substance
L9	three pieces of rock cocaine. On 5-7-92 he was
20	diverted pursuant to Penal Code section
21	pursuant to section 1000 of the Penal Code. On
22	9-22, 1992, Ngo was arrested by the Olympic
23	Sheriff for possession of stolen property. This
24.	case was subsequently dismissed. So you had two
25	arrests?
26	INMATE NGO: Yes, because we were arrested
7	in Washington

1	PRESIDING COMMISSIONER WELCH: Okay.
2	INMATE NGO: I guess you can consider it as
3	two, for the same crime.
4	PRESIDING COMMISSIONER WELCH: Well, it says
5	you was arrested for stolen property and that was
6	dismissed.
7	INMATE NGO: They say the gun I sold the
8	gun but I never lived in Washington so they note
9	there was a (indiscernible) chance I stole the gun
10	when he brought it to California, so. I didn't
11	know nothing about the gun. I just drove there
12	for shelter like I said. I didn't know nothing
13	about the stolen property.
14	PRESIDING COMMISSIONER WELCH: All right,
15	now we have the explanation on the record.
16	Personal factors, Ngo was born in Vietnam on 5-18,
17	1973. He resided in the United States until 1979.
18	In 19 He resided in the United States since
19	1979. In 1991 he graduated from Fullerton High
20	School and subsequently attended Fullerton
21	Community College and Pasadena City College. He
22	completed 10 units and his major was business.
23	Ngo was employed as a telemarketing and worked odd
24	jobs. He was employed at his family's liquor
25	store and resided with his parents. Ngo had
26	problems with Ngo had problems with controlled

substance or alcohol. Is that true?

- INMATE NGO: I don't have no problem with
 drugs at this point. I never drank alcohol
- 3 because I'm allergic to alcohol. I tried cocaine
- 4 and I got busted.
- 5 PRESIDING COMMISSIONER WELCH: Okay.
- 6 INMATE NGO: I have never used since, since
- 7 that day.
- 8 PRESIDING COMMISSIONER WELCH: Have you used
- 9 any -- used any other illegal substances?
- 10 INMATE NGO: No, I don't, Sir.
- 11 PRESIDING COMMISSIONER WELCH: Okay. It
- 12 says you were a member of the Fullerton Boys, is
- 13 that correct, gang?
- 14 INMATE NGO: Used to be.
- 15 PRESIDING COMMISSIONER WELCH: Okay. And
- 16 . after you moved to Los Angeles you became
- 17 affiliated with the Tiger Mafia.
- 18 INMATE NGO: Yeah, that was just a made up
- 19 __name (inaudible) at that point.
- 20 PRESIDING COMMISSIONER WELCH: What?
- 21 INMATE NGO: It was a made up name, like I
- 22 told my last hearing that it was just to throw the
- 23 detective off my trail.
- 24 PRESIDING COMMISSIONER WELCH: To throw the
- 25 detective off your trail, okay, all right. Your
- 26 family, do you have any brothers and sisters?
- 27 INMATE NGO: Yes, I do, Sir.

1	PRESIDING COMMISSIONER WELCH: How many?
2	INMATE NGO: I've got one older brother, two
3	older sisters, one younger brother, and one
4	younger sister.
5	PRESIDING COMMISSIONER WELCH: Okay, any of
6	your brothers have problems with law enforcement
7	agents?
8	INMATE NGO: No.
9	PRESIDING COMMISSIONER WELCH: Any of your
LO	brothers been members of gangs?
L1	INMATE NGO: No.
L2	PRESIDING COMMISSIONER WELCH: Did you grow
L3	up in an intact home with both parents?
L4.	INMATE NGO: Yes, I did, Sir.
L5	PRESIDING COMMISSIONER WELCH: Would you say
L6	you had a stable home environment?
L7	INMATE NGO: Yes, I have.
L8	PRESIDING COMMISSIONER WELCH: Were you
L9 .	married before you came to prison?
20	INMATE NGO: No, Sir.
21	PRESIDING COMMISSIONER WELCH: Any childrens
22	before you came to prison?
23	INMATE NGO: No, Sir.
24	PRESIDING COMMISSIONER WELCH: Did you ever
25	serve in any branch of the military?
26	INMATE NGO: No. I tried it but they didn't
27	want me because I was a smoker back then.

. 1	PRESIDING COMMISSIONER WELCH: Because you
2	were smoker of what?
3	INMATE NGO: Cigarettes, tobacco.
4	PRESIDING COMMISSIONER WELCH: And the
5	military turned you down because you smoked
6	tobacco?
7	INMATE NGO: Yes.
8	PRESIDING COMMISSIONER WELCH: What year was
9	that?
10	INMATE NGO: I think I tried it during
11	after my graduation in South Pasadena and before
12	my graduation it was '89 or '90 I tried, I wanted
13	to go to the Marines because I heard they can
1.4	travel around the world.
15	PRESIDING COMMISSIONER WELCH: And they
16	turned you down because you smoke?
17	INMATE NGO: Yes.
18	PRESIDING COMMISSIONER WELCH: That's a new
19	one on me.
20	INMATE NGO: That's what they said.
21	PRESIDING COMMISSIONER WELCH: Okay, depends
22	on what you smoke. What did you smoke? What did
23	you tell them you smoked?
24	INMATE NGO: Tobacco.
25	PRESIDING COMMISSIONER WELCH: Okay, just
26	tobacco, huh?
27	INMATE NGO: Just tobacco.

7	PRESIDING COMMISSIONER WELCH: All right.		
2	It says you had and we already talked about yo		
3	said you had odd jobs, fast-food and you worked in		
4	your family's liquor store, is that correct?		
5	INMATE NGO: Yes, Sir.		
6	PRESIDING COMMISSIONER WELCH: Is that		
7	pretty much your employment history?		
8	INMATE NGO: Yes.		
9	PRESIDING COMMISSIONER WELCH: Okay, what		
10	I'd like for you to do now is give your attention		
11	to my colleague, he's going to talk to you about		
12	post-conviction. I'll come back and talk to you		
13	about your parole plans.		
14	INMATE NGO: All right, Sir.		
15	DEPUTY COMMISSIONER MEJIA: All right, Mr.		
16	Ngo, I'll be covering your institutional		
17	adjustment in this portion of this hearing since		
18	your last Board appearance. I have reviewed your		
19	Central File, Board reports and psychiatric		
20	reports. If I miss anything, I'll be giving you		
21	and your attorney an opportunity to make comments		
22	at the end of my presentation. Your last Board		
23	appearance was on May 13th, 2002 where you received		
24	a two-year denial. Your recommendation was for		
25	you to remain disciplinary free, upgrade		
26	educationally, participate in self-help and		
27	therapy. Classification score is 19, custody		

- 1 level is medium A, you're assigned to the culinary
- 2 warehouse, correct?
- 3 INMATE NGO: Correct, Sir.
- 4 DEPUTY COMMISSIONER MEJIA: And I see some
- 5 laudatory chronos here about your performance.
- 6 Vocational history, you have an auto upholstery
- 7 completion, an auto finishing completion. You
- 8 also have a forklift certification.
- 9 INMATE NGO: Correct, Sir.
- 10 DEPUTY COMMISSIONER MEJIA: And I see that
- 11 you're taking classes (inaudible) with the
- 12 Coastline Community College.
- 13 INMATE NGO: Correct, Sir.
- 14 DEPUTY COMMISSIONER MEJIA: When did you
- 15 start this?
- 16 INMATE NGO: A little over -- about two
- 17 years now.
- DEPUTY COMMISSIONER MEJIA: Two years. How
- 19 many years have you completed so far?
- 20 INMATE NGO: So far completed -- at this --
- 21 this class I'm taking health right now. Currently
- 22 I have 23.
- 23 **DEPUTY COMMISSIONER MEJIA:** Twenty-three
- 24 units.
- 25 INMATE NGO: I will have 23 units.
- 26 DEPUTY COMMISSIONER MEJIA: Forklift. Do
- 27 you have a high school?

- 1 INMATE NGO: Yes, I do. 2 DEPUTY COMMISSIONER MEJIA: Where did you 3 complete your high school at? 4 INMATE NGO: Fullerton Union High. 5 DEPUTY COMMISSIONER MEJIA: Fullerton Union 6 High. Do you have a copy of the diploma? 7 INMATE NGO: I should, yes. DEPUTY COMMISSIONER MEJIA: Can I see it, 8 please? I couldn't find it in here. 9 10 INMATE NGO: It should be in my file. 11 DEPUTY COMMISSIONER MEJIA: If I thought --12 If it was here I wouldn't ask for it. Maybe I'm just missing it but I don't want to spend time 13 14 looking for it. INMATE NGO: Unless I didn't put it in 15 16 there. DEPUTY COMMISSIONER MEJIA: It's not in your 17 18
- 19 INMATE NGO: It's not in my C-File either?
- 20 **DEPUTY COMMISSIONER MEJIA:** It's not in your
- 21 ---
- 22 INMATE NGO: I guess I didn't put it in
- 23 there. I figured the C-File had it, but I can
- 24 always get you a copy if you need one.
- 25 **DEPUTY COMMISSIONER MEJIA:** Okay. What year
- 26 did you graduate there?
- 27 **INMATE NGO:** '91.

1	DEPUTY COMMISSIONER MEJIA: 1991?
2	<pre>INMATE NGO: It was '91, yes.</pre>
3	DEPUTY COMMISSIONER MEJIA: Okay, and since
4	your last Board appearance in 2002 you were I
5	see that you have started in AA since 1997 and
6	then you switched to NA 2001 (inaudible)?
7	INMATE NGO: I've been going, yes.
8	DEPUTY COMMISSIONER MEJIA: The last chrono
9	was on
10	INMATE NGO: It should be my last chrono
11	was 7-8-04 date of it.
12	DEPUTY COMMISSIONER MEJIA: Okay, 7-8-04 is
13	your NA participation.
14	INMATE NGO: My most recent one.
15	DEPUTY COMMISSIONER MEJIA: Yes, and the one
16	I have here on file is 2003 of March. Okay, you
17	also completed the IMPACT program in 2002
18	workshop?
19	INMATE NGO: Right.
20	DEPUTY COMMISSIONER MEJIA: 3-16, 2002.
21	There's a chrono from Mr. Vazquez, warehouse
22	supervisor, as a culinary storékeeper. You have
23	performed your assignment with excellence. You
24	have a good, helpful, mature attitude and has
25	worked even on holidays. On disciplinaries, you
26	have zero 115s and two 128(a)'s from one on
27	April '97 and one on 2000 in 2000. (Inaudible)

- 1 gang affiliation noted (inaudible) Tiger Mafia
- 2 member. And when was the last time you were
- 3 hanging out with the Tiger Mafia as a member?
- 4 INMATE NGO: Well, there is no Tiger Mafia,
- 5 as I was saying earlier, it's just a made up name,
- 6 so I don't have -- you know, there's no more gang
- 7 for me. I've grown out of it. Back then it was
- 8 just a --
- 9 DEPUTY COMMISSIONER MEJIA: So was that the
- 10 Fullerton?
- 11 INMATE NGO: Fullerton, yes.
- 12 DEPUTY COMMISSIONER MEJIA: What was that,
- 13 Fullerton --
- 14 INMATE NGO: Fullerton Boys, they call it
- 15 Fullerton Boys.
- 16 **DEPUTY COMMISSIONER MEJIA:** Fullerton Boys
- 17 named as the Tiger Mafia? Are you talking about
- 18 the same place?
- 19 INMATE NGO: No, it's two different places,
- 20 LA right, I was living at LA at that time so, you
- 21 know, when they asked me where I was from, I told
- 22 them Tiger Mafia because they saw two initials on
- 23 my arm with TM so I just told them Tiger Mafia
- 24 just to make it up.
- 25 **DEPUTY COMMISSIONER MEJIA:** So was there
- 26 really a Tiger Mafia gang?
- 27 INMATE NGO: No, there's not. You can check

1	into LA Police Department, you will not find no			
2	Tiger Mafia at all.			
3	DEPUTY COMMISSIONER MEJIA: Well, I'm going			
4	to your psych report. Your psych report is dated			
5	January 21, 2002 by Dr. Saindon, spelling			
6	S-A-I-N-D-O-N. We're going to look at your			
7	substance abuse history, we have heard that you			
8	said that you tried cocaine. "Previous mental			
9	health evaluation for the Board of Prison Terms			
10	has recommended that he be involved in AA and NA.			
11	However, inmate feels that he never really had a			
12	drug or alcohol problem although he does currentl			
13	attend AA." And you are attending NA right now,			
14	right?			
15	INMATE NGO: Right.			
16	DEPUTY COMMISSIONER MEJIA: Okay. And his			
17	mental health status at this time of the			
18	evaluation 2002 indicates that,			
19	"There's no evidence of mood or			
20	thought disorder, his judgment			
21	appeared to be sound, showed			
22	significant insight into his			
23	commitment offense as far as some			
24	recognition. There's no guarantee			
25	that he will be paroled at this time			
26	by the Board of Prison Terms."			

Diagnostic impressions, No Contributory Clinical

1	Disorder, Axis I. Axis II Deferred, Axis III No
2	Contributory Physical Disorder, Axis IV Long-Term
3 .	Incarceration, Axis V Global Assessment of
4 '	Functioning of 90. There's no evidence that he
5	suffers from any psychiatric illness. Assessment
6	of dangerousness, "Although" he says,
7	"There's no evidence the inmate
8	currently suffers from any
9	psychiatric illness although he does
10.	recognize that (inaudible) he was
11	vulnerable to depression and was
12	tempted by conscious altering
13	substances. In consideration of the
14	fact that he has received no 115s
15	through his entire incarceration, it
16	would appear that he has at least in
17	this controlled setting been able to
18	manage his behavior and remain
19	incident free."
20 .	Assessment of dangerousness,
21	"Mr. Ngo (inaudible) with the
22	information given in the Central File
23°	and medical record with respect to
24	his prior history of (inaudible) or
25	attempt to use cocaine and was
26	affiliated with gangs, this resulted
27	in his current offense. Based upon

1	his complete lack of disciplinary
2	action while incarcerated, his
3	insight into negative aspects of gang
4	involvement, his remorse for his
5	actions, his violence potential
6`	within a controlled is to be below
7	average relative to the level II
8	inmate population. If released to
9	the community, his violence potential
10	is estimated to be less than the
11	average citizen in the community
12	given his insight, his demonstrated
13	ability to stay out of trouble, his
14	successful development of plans upon
15	release, and the support of his
16	family. (Inaudible) the most
17	significant risk factor for this
18	inmate as a precursor to violence or
19	a return to criminal behavior would
20	be his being involved with others
21	having a criminal history and/or gang
22	members, the use of alcohol and
23	drugs, and isolation from his family
24	members. As this man has spent 10
25	years in prison, I would recommend,
26	should he be paroled, abstinence from
27	all alcohol and use of uncontrolled

1	substance, frequent monitoring of
2	substance abuse, if at all possible
3	should be a relative so he's near to
4	his family, and should make frequent
5	reports to his parole officer. Given
6	his family's commitment in supporting
7	him upon his release, his projected
8	level of success in the community if
9	granted a date for parole is seen at
10	this time to be better than average."
11	His counselor based him as posing a low degree of
12	threat to the community if released. Have you got
13	any comments or any additions to my presentation,
14	Counsel?
15	INMATE NGO: Do you have any of my support
16	letters from my family because
17	DEPUTY COMMISSIONER MEJIA: That will be
18	done by
19	INMATE NGO: Okay.
20	DEPUTY COMMISSIONER MEJIA: Any additional
21	comments?
22	PRESIDING COMMISSIONER WELCH: Are you done?
23	DEPUTY COMMISSIONER MEJIA: Yeah.
24	PRESIDING COMMISSIONER WELCH: Okay.
25	DEPUTY COMMISSIONER MEJIA: I'll go back to
26	the Chair and we'll take a recess.
27	PRESIDING COMMISSIONER WELCH: Okay, we'll

- 1 take a recess for a minute.
- 2 [Off the record.]
- 3 PRESIDING COMMISSIONER WELCH: Mr. Ngo, in
- 4 case --
- 5 DEPUTY COMMISSIONER MEJIA: We're back on
- 6 record.
- 7 PRESIDING COMMISSIONER WELCH: Mr. Ngo, in
- 8 case you receive a parole date, tell the Panel
- 9 where you plan to live.
- 10 INMATE NGO: I'll be living with my mom to
- 11 start off.
- 12 PRESIDING COMMISSIONER WELCH: Where does
- 13 your mom live?
- 14 INMATE NGO: In Monterey Park.
- 15 PRESIDING COMMISSIONER WELCH: Do you have
- 16 an INS hold?
- 17 INMATE NGO: No, Sir.
- 18 PRESIDING COMMISSIONER WELCH: Are you a US
- 19 citizen?
- 20 INMATE NGO: Yes, Sir.
- 21 PRESIDING COMMISSIONER WELCH: Okay, and how
- 22 do you plan to support yourself?
- 23 INMATE NGO: I'll be working at my uncle's
- 24 restaurant for now, (inaudible) chef.
- 25 PRESIDING COMMISSIONER WELCH: Okay, and you
- 26 have lots of support letters in the file and your
- 27 affidavit that you submitted. And it appears that

Τ	you do have employment and you have a place to
2	live. I'll start off by going over some of your
3	letters. Your mom wrote you a letter, her name is
4	Ngo Phuong? Phuong Huynh?
5	INMATE NGO: Phuong Huynh.
6	PRESIDING COMMISSIONER WELCH: Phuong Huynh
7	okay, let me just spell the first name, the first
8	name is spelled P-H-U-O-N-G, and the middle name
9	is H-Y H-U-Y-N-H, and the last name is the same
10	as the prisoner's, N-G-O. Anyway, she writes you
11	a very supportive letter. She says,
12	"As the birth mother of Sieu Ngo, I
13	am writing to request for your
14	leniency and kind review of the
15	parole of Sieu Ngo. Years ago our
16	family immigrated into the United
17	States from Vietnam. With the kind
18	support from the US Government and
19	local communities, we settled down in
20	California and became US citizens in
21	1979. All of our family members are
22	deeply indebted to our government and
23	community and we are determined to
24	serve our country when called for.
25	In fact, my children volunteer at
26	school and communities and help out
27	kids. I have six children, some are

1		college graduates and are doing very
2		well in their careers, such as Chi
3		Phong Ngo, middle name is I mean,
4		first name is C-H-I, middle name is
5		P-H-O-N-G. My son is a quality
6	<i>*</i>	assurance software engineer. Judy
7		Seeto" Seeto?
8		INMATE NGO: Correct.
9		PRESIDING COMMISSIONER WELCH:
LO		"S-E-E-T-O, my daughter, is
L1		pursuing a college degree while
L2	•	working full-time at Pacific Care.
L3		And Lan Lau, L-A-N, last name is
4		L-A-U, another daughter, is an
.5		assistant technician working at DG
6		DCG Group Pacific Bell, SPG
.7		(inaudible) Solutions Incorporated.
. 8		My husband was running a paint
.9		business. Unfortunately he passed
20		away in 1995 at the age of 47. Some
21		of my uncles and aunts are business
22		owners of restaurants and stores.
23		used to run a family business too, a
4		convenience store."
25	And sh	e says,
6		"Sieu Ngo have been working and
27		studying hard in prison and doing

1		well in his college studies. Please
2		be assured that Sieu Ngo, if paroled,
3		will be well taken care of and
4		supervised by his family, relatives,
5		and friends. We, his immediate
6	•	family members, will support him in
7		every aspect of life. We plan to let
8		him complete his college studies
9		before entering the workforce. We
10		are financially capable and
11		emotionally prepared and spiritually
12		determined to help Sieu Ngo and his
13		endeavors to create his reborn life
14		and become a contributing member to
15		our society. Thank you in
16		anticipation of your kind review in
17		granting Sieu Ngo parole."
18	And yo	ur mother signed that. Very supportive.
19	.And an	other one from Gavin Ung. Is that U-N-G
20		INMATE NGO: Yes, my uncle.
21		PRESIDING COMMISSIONER WELCH: He says,
22	,	"I am in the Chinese restaurant
23		business and currently employ about
24		20 workers. Sieu, if released from
25 -		prison, he will also be welcomed to
26		work for me if he so chooses.
7	•	Resides me willing to provide him

Т	with accommodation, transportation,
2	and job, I believe there are a lot of
3	other relatives such as his mom,
.4	siblings, uncles, and aunts who are
5	willing who will always be more
6	than willing to help with all his
7	essential daily needs."
8	Okay, and I have another one from Chi Ngo/Judy Ngo
9	slash Judy Ngo, and she writes she's writing
LO	on behalf of her brother. She feels that you're a
L1	good man and, "Tom is a good man and will remain
12	so. He's a caring person who wants to take care
L3	of his mother if he gets a second chance. My wife
4	and I can fully support him financially and care
L5	for all his personal needs including housing. I
.6	believe Tom" Do you also go by the name of Tom'
17	INMATE NGO: Yes, that's my middle name.
18	PRESIDING COMMISSIONER WELCH: Okay. "I
.9	believe Tom I believe in Tom and only good wil
20	shine from him as each day passes." Another
21	letter here from
22	INMATE NGO: Thanah.
23	PRESIDING COMMISSIONER WELCH: T-H-A-N-A-H,
24	he writes you a very supportive letter.
25	INMATE NGO: She.
26	PRESIDING COMMISSIONER WELCH: She, okay,
7	your older sister I'm trying to say

INMATE NGO: Second oldest.
2 PRESIDING COMMISSIONER WELCH: Second olde
3 sister. And she says,
4 "As you can see he's just the kind of
5 person and always there for anyone
6 who needs help. Because of his
7 caring and kind personality, he has
8 done some harm to himself, this is
9 how he got himself into this mess.
10 I'm sure that the whole mess has
11 taught my brother to beware of his
friends. Sieu's decision to hang out
with his friends was a costly one.
My brother was a young naïve. Now
he's a grownup person and realizes
his actions and takes responsibility
17 to make changes to become a better
18 person."
19 And she goes on to say, "Our family have already
20 arranged for his support once he's released, my
21 husband's store," and she writes the telephone
22 number, "and is located on (indiscernible) Avenue
23 and Alhambra. And a matter of fact his uncle
24 his aunts and uncle also offered him work in thei
25 restaurant. The store name is Hot Wok"
26 INMATE NGO: Hot Wok.
27 PRESIDING COMMISSIONER WELCH: That's H-O-

1	w-O-K, " and that's in fulletton. And there's a
2	First (inaudible) Kitchen that's in Anaheim.
3	Housing would not be a problem. And we all make
4	arrangements for him." So Duc Ngo?
5	INMATE NGO: Duc Ngo.
6	PRESIDING COMMISSIONER WELCH: D-U-C Ngo.
7	Anyway, that's your brother, says, "I'm writing a
8	letter in support of my brother." He writes you a
9	very supportive letter and he pretty much voices
10	the same thing that the other ones wrote. I have
11	another letter here from your defense attorney at
12	the time and I'll read his letter. He says, "I
13	represented" her letter I should say,
14	"I represented Mr. Ngo in the case
15	which sent him to prison. I have
16	been a criminal defense attorney for
17	over 26 years and have represented
18	over 40 persons accused of homicides.
19.	I do not see my clients through rose
20	colored glasses and have never
21	written a letter like this on behalf
22	of an inmate for a parole hearing.
23	The circumstances of Mr. Sieu's case
24	compel me to make a statement in this
25	instant. At the time of the incident
26	he was a very likable young man and
27	with no significant criminal history.

1	My recollection, he had no conviction
2	of any crime or violence. The
3	incident in question was very
4	different from the typical gang case
. 5	and in fact a work sketching for your
6	review, Sieu and his friends was a
7	wannabe type group who did not really
8	have a significant history of tough
9	turf in Orange County as a gang. On
10	the day of the incident, some of
11	Sieu's friends by chance went to
12	McDonald's which was near Fullerton
13	High School in North Orange County.
14	Sieu was not present at the time.
15	One of Sieu's ultimate codefendants
16	got in a staring match with the
17	decedent and some of his friends who
18	were members of the Toker Gang
19	Toker Town, a long established
20	Hispanic group in Fullerton.
21	Essentially the Toker, that's spelled
22	T-O-K-E-R T-O-W-N Essentially the
23	Toker Town Group told Sieu's friends
24	that they, Cooks, that's C-O-O-K-S,
25	were not welcome in Fullerton where
26	some of them already live and they
27	should get out of town. (Inaudible)

1	Sieu's friends decided to confront
2	the decedent's group after school got
3	out that day. Sieu was called to
4	help out in case they were
5	outnumbered. Their group waited at
6	the school and confronted the
7	decedent and one of his friends about
8	two blocks out of Fullerton High
9	School, not on school grounds. From
10	all appearances, this was intended to
11	be a fistfight. Sieu and his friends
12	who had been in the stare-down
13	approached the decedent and a friend
14	who was walking on the sidewalk and a
15	fistfight is how it started.
16 ·	However, the decedent's friend fled
17	just after the punching began and
18	left Sieu and his friend fighting the
19	decedent who was much larger than
20	either of them. Of course this was
21	unfair but nothing at this point
22	suggested that this was intended to
23	be a homicide. A third member of
24	Sieu's A third member of the group
25	who was part of A third member of
26	the group who was part of (inaudible)
27	from where their car was parked to

the scene of the site, he reached up 1 2 while the fight was in progress, shot 3 the decedent, killed him, and narrowly missed Sieu. Sieu and all of his friends then fled, ultimately 5 б being arrested out of state. 7 Evidence was received to show that Sieu and his friends knew that a qun 8 was in a car. Based largely upon 9 that and the theory of foreseeable 10 consequences, he and all of his 11 codefendants were convicted. Sieu 12 was not the shooter and no evidence 13 to show that he suggested, 14 encouraged, abated -- or abetted the 15 shooting in any way. After the 16 shooting, Sieu angrily confronted the 17 18 shooter, demanded to know why he brought out the gun and asserted that 19 he, Sieu, didn't know the gun was 20 going to be used. In summary, this 21 was not a drive-by or a similar gang 22 crime where everyone knew (inaudible) 23 should have known that death or 24 25 serious injury was intended. On the contrary, this appears to be an 26 impulsive act by one member of the 27

Т	group which due to the rest of the
2	circumstances swept them all up by
3	way of the derivative liability
4	derivative liability,
5	D-E-R-I-V-A-T-I-V-E. I am not
6	suggesting that Sieu and the other
7	non-shooters bear no responsibility
8	for the tragic outcome, but for the
9 .	fight of course, no shooting would
10	have taken place. However, I would
11	submit that the circumstances here
12	are significantly mitigated where
13	when considered against other
14	convictions of this type. Assuming
15	that Sieu's performance within the
16	Department of Corrections has been
17	positive, I would urge you to parole
18	at the earliest possible time."
19	Donald J. Rubright Donald G. Rubright, that's
20	R-U-B-R-I-G-H-T, Senior Deputy Public Defender,
21	Orange County. Okay, and you have other letters
22	and some are duplicates and you have other family
23	members providing support. And Raymond Seeto, the
24	brother-in-law, also writes you a supportive
25	letter and he notes that,
26	"Putting a roof over his head won't
27	be a problem. He can stay with his

1	mother, brother, or sisters. Tom has
2	a large extended family. This is his
3	base of support. Lastly, I beg the
4	Board to look at the facts
5	surrounding his conviction. He was a
6	young He was young and naïve."
7 .	So you have lots of family support and you have
8	lots of job offers and you have people offering
9	you a place to live. And most importantly you
LO	have a letter from the Public Defender's Office
11	outlining the case and there's no reason to
L2	dispute what he's saying is true and he wrote you
L3	a very supportive letter. And I have to say I
4	haven't seen too many letters from the Public
15	Defender's Office in support of parole. And with
16	that we'll go to 3042 Notices. We sent out
.7	notices pursuant to Penal Code 3042. We sent
8	those out to different agencies that would have an
9	interest in your case. I do see a letter here
20	from the Orange County Deputy District Attorney's
21	Office and basically what it's saying is that the
22	District Attorney's Office will be attending the
23	life parole consideration hearing, and they have
24	their representative here and at the appropriate
25	time she'll have something to say about your
26	parole suitability. Okay, with that we'll go to
27	questions. Commissioner, do you have any

- 1 questions?
- 2 DEPUTY COMMISSIONER MEJIA: Yes. It looks
- like you've been attending NA, AA, or self-help 3
- 4 since '97?
- 5 INMATE NGO: I was attending mostly -- I was
- 6 attending both at the time but I dropped out of AA
- because I feel that I don't need it because I
- don't drink, I'm allergic to alcohol. I never 8
- And I think that I might -- you know, 9
- because of my drug offense, I decided to go to NA 10
- to better myself, to learn, so that's why I've 11
- 12 been attending NA.
- DEPUTY COMMISSIONER MEJIA: What have you 13
- 14 , learned so far?
- INMATE NGO: To stay sober, to be a better 15
- 16 person. Drugs can really harm a person, I mean,
- change a person, even though you are guilty or 17
- not, drugs can just alter your state of mind, you 18
- can't function properly using drugs: 19.
- DEPUTY COMMISSIONER MEJIA: Did they teach 20
- the 12-Steps as well? 21
- INMATE NGO: Yes. 22
- DEPUTY COMMISSIONER MEJIA: Do you remember 23
- 24 what -- any of the 12-Steps?
- INMATE NGO: Yes, I remember all those. 25
- DEPUTY COMMISSIONER MEJIA: You remember all 26
- of them. Can you give me one? 27

1	INMATE NGO: Which one?
2	DEPUTY COMMISSIONER MEJIA: Whichever you
3	recall.
4	INMATE NGO: Number one that I admitted to
5	God, to myself that (inaudible) drugs or alcohol,
6	that our lives will become unmanageable. Two,
7	make a decision to turn our will and our lives
8	over to the care of God (inaudible) carry that
9	out. Three, make decisions, turn our will and our
LO	lives over to the care of God and (inaudible).
L1	Four, made a searching fearless moral inventory
12	about ourselves.
13	DEPUTY COMMISSIONER MEJIA: How old were you
4	when you were involved in this crime?
15	INMATE NGO: I was 19.
.6	DEPUTY COMMISSIONER MEJIA: You were 19.
17	You are how old now?
.8	INMATE NGO: I'm 31.
9	DEPUTY COMMISSIONER MEJIA: Let me just
20	[Thereupon, the tape was turned over.]
21	DEPUTY COMMISSIONER MEJIA: When did you get
22 -	involved with the gangs, you were 18 then, how old
23 ·	were you when you started with the gang?
24	INMATE NGO: Believe it or not gang is a
25	harsh word because like there was only like five
26	or six of us, you know, during that time. We were
27	more friends because I (inaudible) know these

- 1 guys, I've been moved there for about a year, and
- 2 I hang around with them, you know. So to me it's
- 3 more like a friend more than a gang but because we
- 4 live at Fullerton they call themselves Fullerton
- 5 Boys.
- 6 DEPUTY COMMISSIONER MEJIA: How old were you
- 7 when you started hanging out with them?
- 8 INMATE NGO: I think I was 16 or 17, Sir.
- 9 **DEPUTY COMMISSIONER MEJIA:** So about two
- 10 years.
- 11 INMATE NGO: Yeah, about two years.
- 12 **DEPUTY COMMISSIONER MEJIA:** If you get
- 13 released to the streets, how are you going to get
- 14 away from the elements of the gang lifestyle?
- 15 INMATE NGO: Knowing what a gang can do to a
- 16 person, I have confidence that I can stay away
- 17 from them, you know, because I learned from my
- 18 mistakes, that's how I've grown. I know I can
- 19 deal with problems differently, you know, just ---
- 20 staying away, choose better friends, think before
- 21 I act, everything, what I've learned in here to be
- 22 a better person.
- 23 **DEPUTY COMMISSIONER MEJIA:** No other
- 24 questions.
- 25 PRESIDING COMMISSIONER WELCH: Okay, there's
- 26 one thing in your brief that a lot of it's clothed
- 27 in legal terminology, but there is one thing that

	I child i will put on the record in your detense,
2	it's, "Considering the facts of my personal
3	culpability as established, it is clear that the
4	category" well, that's not what I'm trying to
5	read. Here it is, the next paragraph says,
6	"Since there are no words adequate
. 7	enough to express the sincerity of my
8	remorse of the acceptance of
9	responsibility for my past conduct
10	and behavior, I offer the following
11	actions, that I stipulate to the
12	aggravated term of 19 years in
13	accordance with 15CCR243 that I
14	stipulate to whatever other parole
15	conditions that the Board deems
16	necessary upon me."
17	Okay. I was looking for something for your
18	remorse and I guess that's the closest thing I've
1.9	found there. And under the facts of the crime,
20	starting off where you said, "The facts of the
21	crime shall be discussed with the prisoner to
22	assess determination, the extent of culpability,
23	the Board shall not require the admission " we
24	already know that. "Furthermore, I fully and
25	truly confess and accept the facts of my personal
26	culpability and responsibility for the life
27	crime." Okay, anyway. Commissioner, District

1	Attorney, do you have any questions?
2	DEPUTY DISTRICT ATTORNEY CONTINI: Just very
3	briefly. Could the Board ask the inmate, he
4	testified or stated that he made up the name Tiger
5	Mafia because he had TM tattooed on his shoulder.
6	What does TM stand for then?
7	INMATE NGO: My ex-girlfriend's name,
8	Theresa May.
9	DEPUTY DISTRICT ATTORNEY CONTINI: Could the
10	Board inquire of the inmate why he would make up
11	another gang affiliation and tell a police officer
12	that?
13	INMATE NGO: Because at the time I just
14	wanted to throw off the investigator because when
15	I was arrested they knew I was from Fullerton so
16	just (inaudible) I didn't want to be associated
17	with them so I threw them off by making up a name.
18	DEPUTY DISTRICT ATTORNEY CONTINI: Could the
19	Board inquire of the inmate when he and his crime.
20	partners were arrested in the State of Washington,
21	he was arrested for possession of stolen property,
22	could the Board inquire of him whether or not he
23	was aware that was not only the murder weapon in
24	his life case but also a nine-millimeter
25	(inaudible) assault pistol that was in the car?
26	INMATE NGO: Both of the weapons don't
27	belong to me, they belonged to my crime partner.

- 1 I'd never been to the State of Washington until I
- 2 drove there myself. I'd never been out of the
- 3 State of California, period, so --
- 4 PRESIDING COMMISSIONER WELCH: Did you
- 5 understand the question?
- 6 INMATE NGO: She had stated why the weapon
- 7 was in the car.
- 8 PRESIDING COMMISSIONER WELCH: She said were
- 9 you aware.
- 10 INMATE NGO: Of the gun, yes, I was, Sir.
- 11 PRESIDING COMMISSIONER WELCH: The other
- 12 weapon?
- 13 INMATE NGO: Yes.
- 14 PRESIDING COMMISSIONER WELCH: Okay.
- 15 District Attorney.
- 16 DEPUTY DISTRICT ATTORNEY CONTINI: Could the
- 17 Board inquire of the inmate, he drove his crime
- 18 partner and fellow gang members to the State of
- 19 Washington, correct?
- 20 INMATE NGO: Yes, that's correct.
- 21 DEPUTY DISTRICT ATTORNEY CONTINI: And could
- 22 the Board just finally inquire of the inmate, he
- 23 indicated he had the 11350 arrest for the drug, in
- 24 the probation report for this case, indicated that
- 25 he and some other individual had previously beaten
- 26 up Hispanic men at a school, is he familiar with
- 27 that case?

1	INMATE NGO: I'm wondering (inaudible) that
2	was never brought up, I don't see what it has to
3	do with my case right now. (Inaudible) a fight,
4 .	everybody was getting in a fight. We were young
5	then.
6	PRESIDING COMMISSIONER WELCH: Okay.
7	INMATE NGO: That was, you know
8	PRESIDING COMMISSIONER WELCH: Let's backup.
9	District Attorney, would you re-ask the question.
ro	DEPUTY DISTRICT ATTORNEY CONTINI: Sure.
L1	Would the Board inquire of the inmate, in his
L2	probation report for this case, the victim by the
L3	last name of Perez was interviewed regarding a
L 4	physical altercation at a school in which the
L 5	inmate began the physical altercation and then
16	three of the inmate's companions joined in kicking
L7	and punching the victim Perez, alleged to have
18	happened December 14th, 1990. Is he familiar with
L9	that assault?
20	INMATE NGO: Yes, I am familiar with it. I
21	was never convicted on anything. I was released.
22	What can I say?
23	PRESIDING COMMISSIONER WELCH: Well, you can
24	tell her the circumstances. I don't think any
25	INMATE NGO: Well,
26	PRESIDING COMMISSIONER WELCH:
7	(insudible) what hannened

1 INMATE NGO:	For	that	fight	I	was	going	to
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- 2 pick up my girlfriend at the school at that time.
- 3 She was in the agricultural or floral rearranging
- 4 class. I went over there to pick her up and Mr.
- 5 Perez, I guess, at that -- you know, tell me, who
- 6 the hell are you, and then he started cussing me.
- 7 He don't even know who I am. (Inaudible) what is
- 8 he doing, you know, what is his business. So I
- 9 just left it at that and I left, and I was waiting
- 10 for my girlfriend at the parking lot and he came
- 11 out and started mad-dogging me.
- 12 PRESIDING COMMISSIONER WELCH: What does
- 13 mad-dogging mean?
- 14 INMATE NGO: It means staring me down and
- 15 then he asked me who the hell -- you know, F, what
- 16 are you looking at. I said, what's your problem?
- 17 So he came over (inaudible) confronted so I just
- 18 socked him, you know.
- 19 PRESIDING COMMISSIONER WELCH: You socked
- 20 him?
- 21 INMATE NGO: Yes, because he wanted to fight
- 22 me for some reason so I just was trying to defend
- 23 myself so I socked him first and that's it.
- 24 PRESIDING COMMISSIONER WELCH: Okay, all
- 25 right. District Attorney.
- 26 DEPUTY DISTRICT ATTORNEY CONTINI: Could the
- 27 Board just inquire of the inmate whether or not

- 1 his three companions that assaulted Mr. Perez on 2 that day were also Fullerton Boys gang members? 3 INMATE NGO: No. 4 PRESIDING COMMISSIONER WELCH: No? 5 INMATE NGO: No, they weren't. 6 DEPUTY DISTRICT ATTORNEY CONTINI: I don't 7 have anything further. . 8 PRESIDING COMMISSIONER WELCH: 9 Counselor, do you have any questions for your 10 client? 11 ATTORNEY SPOWART: I have nothing. 12 PRESIDING COMMISSIONER WELCH: Okay, Mr. 13 Ngo, at this time we go -- at this portion of the 14 hearing we go to closing. We start off with the 15 District Attorney. District Attorney, closing. 16 DEPUTY DISTRICT ATTORNEY CONTINI: 17 People of the State of California strongly oppose 18 any parole of Mr. Ngo at this time. This case was 19 a cold-blooded murderer of a 15-year-old. Yes,
- 20 rival gang member, but a 15-year-old when this
- 21 inmate was 19 years, two years out of high school.
- 22 He and his friends, after a mad-dogging incident,
- 23 lie in wait essentially waiting for this young man
- 24 to walk home from school. In the inmate's version
- of events to the Board previously, he indicated 25
- 26 that he didn't know that a gun was in the car and
- 27 that at the same breath he indicates that they had

the gun for protection. Neither of those things 1 2 make any sense in the gang world, and when you are 3 waiting for an individual to assault them, it seems ridiculous to say that you have the gun for Moreover, Mr. -- the victim, the 15-5 protection. year-old, in the case was walking with another 6 friend when he was first engaged by this inmate 7 and one other person which shows that this inmate 8 There's five 9 was a leader in this attack. individuals involved, he's one of the first ones 10 to go up and start beating the 15-year-old. I 11 just want to touch briefly on Mr. Rubright's 12 letter, the public defender, because he indicates 13 in that letter that -- just give me one moment, he 14 indicates in that letter that this was a wannabe 15 gang and I just have a strong -- strongly disagree 16 This is a gang that had a loaded gun 17 with that. that they used on this 15-year-old. It's also a 18 gang that when they're arrested after fleeing to 19 the State of Washington, has the nine-millimeter 20 stolen gun, both of the guns stolen, both the .22 21 and the nine-millimeter. In addition to that, 22 there's a level of sophistication involved in this 23 crime in that the suspects fled and they burn the 24 car that they were driving, and that's contained 25 in the record. They burn the car that they were 26 driving before taking off to Washington and this 27

- 1 inmate is the one who drove them to Washington.
- 2 Finally, I would note that although this inmate's
- 3 criminal history, it was not extensive, he does
- 4 have the drug offense, and then two years before
- 5 the killing he has this incident where he and some
- 6 other fellows are beating up an individual, so
- 7 there is an escalation of violence in his
- 8 background there. When he first was housed at the
- 9 Orange County Jail, he had three incidents in the
- 10 Orange County Jail which were noted in his
- 11 probation report, the last was the mutual combat.
- 12 He's obviously done well in the last few years
- 13 There, but he does have a couple of -- I believe I
- 14 saw a couple of 128s in his record, so we're
- 15 talking 12 years since this incident occurred.
- 16 Based on the gang nature of it, basically a cold-#
- 17 blooded execution of a 15-year-old boy, we would
- 18 strongly oppose a parole at this point.
- 19 PRESIDING COMMISSIONER WELCH: Counselor,
- 20 closing.
- 21 ATTORNEY SPOWART: If we look at Mr. Ngo's
- 22 entire history, he had no juvenile record. He
- 23 came from a very good family, an excellent letter
- 24 from his mother, talking about her other children,
- 25 their accomplishments. My client graduated from
- 26 high school. He was attending Fullerton Community
- 27 College and then Pasadena City College. He

- 1 completed 10 units. In other words, he had a
- 2 stable social history. He was in high school at
- 3 that time, various ethnic groups, Latinos, Asians,
- 4 and they would fight, and this happens. He did
- 5 get in a fight once when he tried to pick up his
- 6 girl. Now the DA says this shows an escalating
- 7 pattern. Motivation for the crime was basically a
- 8 gang fight. He was 19 then and he's 31 now.
- 9 Excellent parole plans which we've gone over, a
- 10 place to live, a place to work, good letters of
- 11 support. Now, this is exactly what I was talking
- 12 about when I objected. This is a murder second-
- degree plea. The DA comes up here today says
- 14 cold-blooded lying in wait. If that was true then
- .15 the District Attorney's Office was grossly
 - 16 inadequate in the prosecution of my client. Why
 - 17 wasn't he up for life without parole or the death
 - 18 penalty? Come on, give me a break, that is not
 - 19 what happened. He went with his friends, this big
 - 20 gang, five guys, that he went over with and they
 - 21 went over because one of them had gotten in
- 22 (inaudible) and they went over to have a fight, it
- 23 was clear. As his trial attorney says, the fight
- 24 started and later on a guy comes up with a gun and
- 25 shoots the victim. It wasn't my client. He did
- 26 not have a gun. He's never been violent. If you
- 27 look at the Board report and he gives -- he says

- 1 he would pose a low degree of threat to the public
- 2 at this time. This opinion is based on Ngo being
- 3 immature at the time of the crime and easily
- 4 influenced by his peers. The crime was episodic
- 5 in nature. It wasn't planned, it wasn't cold-
- 6 blooded, it wasn't lying in wait, it was none of
- 7 those. It was a pure simple these five guys got
- 8 in a fight. My client was there, he didn't even
- 9 know they had the gun. He wasn't living in
- 10 Fullerton at the time, he was living in Pasadena,
- 11 going to college. His institutional adjustment
- 12 has been outstanding, two 128(a)'s in the entire
- 13 time he's been down. No 115s. Where is the
- 14 violence? Where's the violence? Where's the
- 15 escalating pattern? There isn't any. He
- 16 completed IMPACT Workshop program, and if you
- 17 notice they talk about the IMPACT program it
- 18 includes anger management, domestic violence, gang
- 19 violence, murder and sexual assault, it includes ---
- 20 all these things. So he's had good therapy there.
- 21 He's been AA or NA the entire time he's been down.
- 22 He completed the course of Sexual Transmitted
- 23 Disease, has excellent work reports, completed the
- 24 Salesmanship and the Key to Fatherhood, completed
- 25 the forklift certification. The Board report is
- 26 low. Now let's look at the psych report, and
- 27 let's remember, the psych report is the expert

- 1 here, and this is low too. The Commissioner read
- 2 it, based on his complete lack of disciplinary
- 3 actions while incarcerated, his insight into the
- 4 negative aspects of gang involvement, and his
- 5 remorse for his actions, he has expressed remorse,
- 6 and the psych takes that into consideration, his
- 7 violence potential within a controlled setting is
- 8 estimated to be below average relative to this
- 9 level inmate II population, which is low. If
- 10 released to the community, his violence potential
- 11 is estimated to be less than, not average, but
- 12 less than the average citizen in the community.
- 13 And he talks about, given his insight, his
- 14 demonstrated ability to stay out of trouble, his
- 15 successful development of plans upon release and
- 16 the support of his family -- and I know -- look
- 17 again at his plans, he's very detailed. This is a
- 18 serious young man. He's very serious about
- 19 wanting to get out. He has clearly worked to get...
- 20 out. He admits what he did. You can't get away
- 21 from it, he was there, he participated. It was a
- 22 fight and then there was a gun there. He did not
- 23 do the shooting. He had no reason to believe that
- 24 this other guy would shoot anybody, it happened.
- 25 The point is, we're here now when he's 31 years
- 26 old not 19. He's been in Coastline Community
- 27 College, he's preparing himself to get out. He's

- 1 paid for his crime. For his part in that crime,
- 2 he has paid for it. And he's stated, if you find
- 3 me suitable, give me the highest because I know I
- 4 shouldn't have been there and I shouldn't have
- 5 participated in this. Board report low, psych
- 6 report below average here, less than average. Do
- 7 you know what that means? Look at anybody walking
- 8 downtown Fullerton or Pasadena, they're the
- 9 average Joes. And he, the psych, the
- 10 professional, is saying my client is no more -- is
- 11 less dangerous than they are, less dangerous. So
- 12 how now does he become an unreasonable risk of
- 13 danger to society. I think not. You know, I'm
- 14 surprised really, I know it's difficult to get a
- 15 date on your first initial hearing, but I'm
- 16 surprised that this man didn't get a date on his
- 17 initial hearing. I think he should be found
- 18 suitable today and I'll submit it.
- 19 PRESIDING COMMISSIONER WELCH: Okay, Mr.
- 20 Ngo, you can make a closing statement or you can
- 21 let your attorney's statement be your closing
- 22 statement.
- 23 INMATE NGO: I'd like to make a statement.
- 24 During my last initial hearing, previous hearing,
- 25 one of the Commissioners told me that I needed
- 26 time to understand the nature and circumstances of
- 27 my crime and my disregard for human life. In my

- 1 defense, I would say that it's part of telling the
- 2 truth because for the last 12 years I've had
- 3 nothing but time to think about my crime. It's
- 4 with me every day, it's a reminder every day. I
- 5 know nothing I can say or do can bring back Mr.
- 6 Angel Gonzalez. I know I caused a lot of harm to
- 7 his family, anyone involved, but there's nothing I
- 8 can say or do at this point to ever change the
- 9 fact. You know, I know what I did, or lack of on
- 10 my part is, I could have maybe have stopped this
- 11 from happening if I was smart enough to act upon
- 12 it because I was young and naïve. I know that's
- 13 not an excuse, but looking back now I see what I
- 14 could have done if I'd have known better. I took
- 15 full complete responsibility for my action. I
- 16 can't take responsibility for others, only for
- 17 myself. I know I'm partly responsible that's why
- 18 I know I deserve to do some time, but I have done
- 19 my time. I have done everything you have asked me
- 20 to do, I've gone beyond my scope of
- 21 (indiscernible) to better myself. All I ask is
- 22 that you give me a fair chance, a second chance.
- 23 I know I have to prove to you that I can be a law-
- 24 abiding citizen, but if you should not find me
- 25 suitable at this time, I'd ask you, urge you, to
- 26 please specify what exactly I need to do in order
- 27 to be found suitable at my future hearings.

5 /

That's all I ask of you. Thank you. PRESIDING COMMISSIONER WELCH: Okay, thank you very much for our comments. We'll take a recess. RECESS --000--18 -19 -

1	CALIFORNIA BOARD OF PRISON TERMS
2	DECISION
3	DEPUTY COMMISSIONER MEJIA: Okay, we're back
4	on record for our decision.
5	PRESIDING COMMISSIONER WELCH: Okay, Mr.
6	Ngo, we have a decision. The Panel reviewed all
7	the information received from the public and
8	relied on the following circumstances in
9	concluding could you close the door, please,
10	in concluding that the prisoner is not suitable
11	for parole and would pose an unreasonable risk of
12	danger to society or a threat to public safety.
13	One, this crime was carried out in an especially
14	cruel and callous manner. Gang related violence
15	in a community is always cruel and callous
16	violence. Actually there was multiple victims
17	attacked, one was killed, one ran away and was
L8	able to escape. The offense was carried out in a
L9 [.]	dispassionate, it was a calculated manner. The
20	victim was abused, he was beaten and then he was
21	shot. The offense was carried out in a manner
22	that demonstrates an exceptionally callous
23	disregard for another human being. The motive for
24	the crime was inexplicable or very trivial in
25	relationship to the offense. Any gang-related
26	activity in our community is (inaudible) and
7	STEU NGO J-07024 DECISION PAGE 1 8/3/04

1	inexplicable	type	οf	behavior,	it's	the	type	οf

- 2 behavior that certainly cannot be condoned in any
- 3 well-ordered society. The conclusion was drawn
- 4 from the Statement of Facts wherein on 9-18-92
- 5 Angel Gonzalez who was beaten and shot to death
- 6 near Fullerton High School as he was walking away
- 7 -- as he was walking home. The circumstances
- 8 surround this crime, apparently the prisoner and
- 9 some of his gang members had been at a McDonald's.
- 10 There was a stare down contest and apparently some
- 11 words was exchanged. The prisoner and his crime
- 12 partners waited for the victim as he was walking
- 13 home and a fight ensued and he was shot and killed
- 14 with a .22 caliber handgun. Mr. Angel Gonzalez,
- 15 aged 15, lost his life over a very, very trivial
- 16 matter, over a matter that really cheapens human
- 17 life, the gang related -- the gang mentality that
- 18 occurs in our cities. The prisoner really did not
- 19 have an escalating pattern of criminal conduct.
- 20 He did have one contact with law enforcement
- 21 agency. He has -- well, two contacts with law
- 22 enforcement agencies, one was for a controlled
- 23 substance and one was for stolen property
- 24 including the instant offense, so he did not have
- 25 a major record of criminal history or an
- 26 escalating pattern of violent type of behavior.
- 27 SIEU NGO J-07024 DECISION PAGE 2 8/3/04

- 1 Under unstable social history, other than the
- 2 prisoner's gang activity or involvement in gang
- 3 activity to whatever extent it was. The Board
- 4 have no reason to disbelieve the public defender
- 5 who detailed from his perspective what had
- 6 occurred, so it does not appear that the prisoner
- 7 was heavily into gang activity, criminal type of
- 8 behavior. Also under unstable -- it appears that
- 9 the prisoner did have a stable home environment,
- 10 the letters from the family are very impressive in
- 11 terms of the type of support he have in the
- 12 community. The prisoner has programmed in an
- 13 acceptable manner. Recent psychological report
- 14 shows that the prisoner is making progress, shows
- 15 that his level of dangerousness both in a
- 16 structured environment and non-structured
- 17 environment is reduced. He's on the right track
- 18 and he is making progress. Certainly from a
- 19 psychological perspective we feel that the
- 20 prisoner is making progress. Dr. Zika is the one
- 21 that prepared this report. However, we are going
- 22 to request a new psychological evaluation for the
- 23 prisoner's next hearing. Under parole plans,
- 24 certainly the prisoner have parole plans, lots of
- 25 support in the community, job offers, residential
- 26 plan. The Hearing Panel notes that in response to
- 27 SIEU NGO J-07024 DECISION PAGE 3 8/3/04

- 1 Penal Code 3042 Notices, the Deputy District
- 2 Attorney from Orange County spoke in opposition.
- 3 The Panel makes the following findings: The Panel
- 4 finds that the prisoner needs to continue to
- 5 participate in the kinds of programs that he's
- 6 participating in, to continue to make progress,
- 7 continue to participate to the extent that he will
- 8 be able to face, understand, and cope with
- 9 stressful situations in a nondestructive manner.
- 10 Until the Board feels that enough progress is
- 11 made, the prisoner continues to be unpredictable
- 12 thereby presents some amount of threat. However,
- 13 there are some things that we certainly want to
- 14 commend him for. The prisoner's disciplinary
- 15 behavior certainly is not -- is something that we
- 16 certainly want to give him some accolades for and
- 17 encourage him to continue in his self-help
- 18 programs that he's involved in, his educational
- .19 programs that he's involved in, all of those kinds
- 20 of things we think is very significant and we want
- 21 to continue to -- him to continue in that way.
- 22 However, those positive aspects at this time does
- 23 not outweigh the factors of unsuitability. Now
- 24 your parole is going to be denied for one-year
- 25 this time and we encourage you to continue to
- 26 remain disciplinary free, continue to explore your
- 27 SIEU NGO J-07024 DECISION PAGE 4 8/3/04

1	culpability	in the	crime,	continue	to	participate

- 2 in self-help programs and other type of positive
- 3 kinds of programs, educational programs, as they
- 4 maybe available to you. There again we want the
- 5 clinicians to look at your violence potential in
- 6 the free community when they complete the
- 7 psychological evaluation, whether there's a
- 8 significant problem with alcohol and drugs as it
- 9 relates to the commitment offense into which you
- 10 have -- and I think this is one area that we
- 11 certainly want them to take a look at, the extent
- 12 to which you have explored your commitment offense
- 13 and come to terms with the underlying causative
- 14 factors. That concludes the reading of the
- 15 decision. And personally I think you're making
- 16 progress, and you asked specifically what it is
- 17 that you need to do. You need to continue to do
- 18 the things that you're doing, you need to continue
- 19 to participate in self-help programs, continue to
- 20 participate in educational programs, continue to
- 21 explore the crime that you were committed for and
- 22 develop greater insight into it. And I think
- 23 you're on the right track. I think you'll get a
- 24 parole date in the not too distant future.
- 25 Commissioner, comments?
- 26 **DEPUTY COMMISSIONER MEJIA:** Good luck to
- 27 SIEU NGO J-07024 DECISION PAGE 5 8/3/04

you. INMATE NGO: Thank you. DEPUTY COMMISSIONER MEJIA: Keep up the good work. PRESIDING COMMISSIONER WELCH: Good luck to you, Mr. Ngo. That concludes the hearing at .7 approximately 1500 hours. --000--PAROLE DENIED ONE YEAR THIS DECISION WILL BE FINAL ON: YOU WILL BE PROMPTLY NOTIFIED IF, PRIOR TO THAT DATE, THE DECISION IS MODIFIED. SIEU NGO J-07024 DECISION PAGE 6 8/3/04

CERTIFICATE AND

DECLARATION OF TRANSCRIBER

I, WENDY THOMAS, a duly designated transcriber, CAPITOL ELECTRONIC REPORTING, do hereby declare and certify under penalty of perjury that I have transcribed tape(s) which total one in number and cover a total of pages numbered 1 through 63, and which recording was duly recorded at CORRECTIONAL TRAINING FACILITY at SOLEDAD, CALIFORNIA in the matter of the SUBSEQUENT PAROLE CONSIDERATION HEARING of SIEU NGO, CDC No. J-07024, on AUGUST 3, 2004 and that the foregoing pages constitute a true, complete, and accurate transcription of the aforementioned tape(s) to the best of my ability.

I hereby certify that I am a disinterested party in the above-captioned matter and have no interest in the outcome of the hearing.

Dated August 19, 2004 at Sacramento County, California.

(wendy Thomus Wendy Thomas Transcriber CAPITOL ELECTRONIC REPORTING

EXHIBIT D

June 23, 2005

Board of Prison Terms CTF Soledad P.O. Box 686 Soledad, CA 93960

Re: Sieu Phong Ngo, CDC # J07024 Parole Hearing

Dear Sirs:

I represented Mr. Ngo in the case which sent him to prison. I have been a criminal defense attorney for almost thirty years and have represented over 40 persons accused of homicide. I do not see my clients through rose colored glasses. However, the circumstances of Sieu's case are unusual enough that I feel compelled to make a statement on his behalf.

At the time I represented him, Sieu was a very likeable young man with a minor criminal record. To my recollection he had no convictions for any crimes of violence. The incident in question was very different from the typical "gang case" and the facts are worth sketching for your review.

Sieu and his friends were a "wanna be" type gang who did not really have a significant history or established turf in Orange County. On the day of the incident some of Sieu's friends, by chance, went to the McDonald's which was near Fullerton High School in northern Orange County. Sieu was not present at the time.

One of Sieu's friends got in a staring match with the decedent and some of his friends, who were members of "Tokertown," a long established Hispanic gang in Fullerton.

Essentially, the "Tokertown" group told Sieu's friends that they were not welcome in Fullerton (where some of them already lived) and they should get out of town.

Angered by this, Sieu's friends decided to confront the decedent's group after school got out that day. Sieu was called to help out in case they should be outnumbered. Their group waited after school and confronted the decedent and one of his friends about two blocks south of Fullerton High School (not on school grounds).

From all appearances this was intended to be a fist fight. Sieu, and the friend who'd been in the stare-down, approached the decedent and another young man who were walking on a sidewalk. A fist fight is how it started. However, the decedent's friend fled just after the punching began and that left Sieu and his friend fighting the decedent who was significantly larger than either of them. Of course, this was unfair, but nothing at this point suggested that this was intended to be a homicide.

While the fist fight was ongoing a third member of the group Sieu was part of ran forward to the scene While the fight was in still in progress he reached around Sieu and shot the decedent, killing him and narrowly missing Sieu. Sieu and his group then fled, ultimately being arrested out of state.

Evidence was received to show that Sieu and his friends knew that a gun was in the car. However there was no evidence to show that there was a plan to use it. Based upon the theory of foreseeable consequences, Sieu and several co-defendant's were convicted or pled guilty to murder. Sieu was not the shooter and no evidence existed to show that he suggested, encouraged or aided or abetted the shooting in any way.

After the shooting Sieu angrily confronted the shooter, demanding to know "why" he brought out the gun and asserting that he (Sieu) didn't know the gun was going to be used.

In summary, this was not a "drive by" or similar gang crime where everyone knew or legitimately should have known that death or serious bodily injury was intended.

On the contrary, this appeared to be an impulsive act by one member of the group which, due to the rest of the circumstances, swept them all up by way of derivative liability.

I am not suggesting that Sieu and the other non-shooters bear no responsibility for the tragic outcome. But for the fight, of course, no shooting would have taken place. However, I would submit that the circumstances here are significantly mitigated when considered against other convictions of this type.

Assuming that Sieu's performance within the department of corrections has been positive, I would urge his parole at the earliest possible time.

Sincerely,

Donald G. Rubright Senior Deputy Public Defender Orange County, Ca (949) 249-5060

EXHIBIT E

MENTAL HEALTH EVALUATION FOR THE BOARD OF PRISON TERMS (REVISED AUGUST 1998) PAROLE CONSIDERATION HEARING APRIL 2002 LIFER CALENDAR

CORRECTIONAL TRAINING FACILITY, SOLEDAD JANUARY 23, 2002

This is the second mental health evaluation for the Board of Prison Terms on inmate Sieu Phong Ngo, CDC# J-07024. This report is the product of a personal clinical interview of the inmate, conducted on 01/23/02, as well as a review of his Central file and medical record. This clinical interview and a review of all pertinent documents were for the express purpose of preparing this report. Prior to today's interview, I had no previous contact with this inmate.

PSYCHOSOCIAL ASSESSMENT

I. IDENTIFYING INFORMATION:

Inmate Ngo is a 28-year-old, single, Vietnamese male who was born on 05/18/73. He was born in Vietnam and moved to the United States in 1979 with his family, where they settled in Los Angeles. He has multiple tattoos on his arms, as well as scars from a suicide attempt when he was 16. He denies the use of any nicknames or aliases.

II. DEVELOPMENTAL HISTORY:

Inmate Ngo was born in Vietnam. His family moved to the United States in 1979. They settled in Los Angeles, where they remain to this day. The inmate has one older brother, two older sisters, one younger brother, and one younger sister. They were raised by both parents.

His father died in 1996 while the inmate was incarcerated, but the inmate reports that he is still in contact with his siblings and his mother.

He denies any history of birth defects or abnormalities of developmental milestones, a history of cruelty to

CDC NUMBER: J-07024

BPT MENTAL HEALTH EVALUATION

PAGE TWO

animals, a history of arson, any significant childhood medical history, or a childhood history of physical or sexual abuse as either a perpetrator or a victim.

III. EDUCATIONAL HISTORY:

Inmate Ngo graduated from high school and attended one year of college, studying general education, prior to his incarceration. In high school, he relates that he was in English as a Second Language classes for some time. Chinese is his primary language, although he speaks very fluent English at this time. He reported that he had some reading problems, probably related to English being his second language at the time.

Since his incarceration, he has been studying vocational, self-paced, business courses. He intends to finish college.

IV. FAMILY HISTORY:

Inmate Ngo speaks of his family in largely positive terms. He states that he is the only one in his family who has a criminal record. There is no family history of mental illness or criminality, other than his. He also denies a family history of alcohol or drug abuse.

PSYCHOSEXUAL DEVELOPMENT AND SEXUAL ORIENTATION: V.

Inmate Ngo states that he is a heterosexual male. denies any history of high-risk sexual behavior or sexual aggression.

MARITAL HISTORY: VI.

Inmate Ngo has never been married and has no children. He does have a female friend whom he corresponds with, but who does not visit. He did have a girlfriend prior to his incarceration. He reports normal sexual relations.

VII. MILITARY HISTORY:

The inmate denies any history of military service.

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BPT MENTAL HEALTH EVALUATION

PAGE THREE

VIII. EMPLOYMENT/INCOME HISTORY:

Inmate Ngo was quite young at the time of his commitment offense. However, he had worked at odd jobs in the fast food industry.

Since his incarceration, he has been a clerk. works chronos in his Central file show that he has been a satisfactory worker in job attendance, quality and quantity. He has learned a couple of job skills, both in cooking and in upholstery, which he intends to continue if granted parole.

IX. SUBSTANCE ABUSE HISTORY:

Inmate Ngo readily acknowledges having tried cocaine once. He reports that the first time he tried it, he was caught and ordered to attend a diversion class for drug addiction. Previous mental health evaluations for the Board of Prison Terms have recommended that he be involved in Alcoholics Anonymous and Narcotics Anonymous. However, the inmate feels that he never really had a drug or alcohol problem, although he does currently attend Alcoholics Anonymous.

PSYCHIATRIC AND MEDICAL HISTORY:

Inmate Ngo reports one incident of psychiatric illness, in which he states that when he was 16 years old, he tried to kill himself by cutting his arms. He reports that his family life and personal problems felt overwhelming at the time. He states that he has not suffered from depression or had any suicidal thoughts since that time. He has had no contact with the mental health system since that time, either outside or inside of prison.

He denies any significant medical history, other than mentioned above. He denies a history of serious accidents or head injuries, a history of suicidal behavior, or a history of seizures or other neurological conditions. He is taking no medications.

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BPT MENTAL HEALTH EVALUATION

PAGE FOUR

XI. PLANS IF GRANTED RELEASE:

Inmate Ngo states that if he is paroled, he would be fully supported by his family, and would use the money he has earned as a clerk to start his own business, either in the upholstery or restaurant business. He does acknowledge that he is determined not to have any gang affiliation. He shows a good deal of insight into the negative aspects of gang involvement, which he regrets to this day.

CLINICAL ASSESSMENT

XII. CURRENT MENTAL STATUS/TREATMENT NEEDS:

Inmate Ngo appears to be his stated age of 28. He was appropriately dressed and groomed. He was calm, cooperative, coherent and alert during the entire interview. He was open in his conversation and emphasized throughout the interview his recognition that gang affiliation had only resulted in injury to himself and to those around him. His mood was sober, but his range of affect was good. His speech, flow of thought and affect all appeared to be within the normal range. His intellectual functioning was estimated to be within the average range. There was no evidence of a mood or thought disorder. His judgment appeared to be sound. He showed significant insight into his commitment offense, as well as some recognition that there is no guarantee he will be paroled at this time by the Board of Prison Terms.

CURRENT DIAGNOSTIC IMPRESSIONS (DSM-IV):

AXIS I: No Contributory Clinical Disorder.

AXIS II: Deferred.

AXIS III: No Contributory Physical Disorder.

AXIS IV: Long-term incarceration.

AXIS V: Global Assessment of Functioning (GAF) = 90.

There is no evidence that inmate Ngo currently suffers from any psychiatric illness, although he does recognize that in his early youth he was vulnerable to

NGO, SIEU PHONG CDC NUMBER: J-07024 BPT MENTAL HEALTH EVALUATION PAGE FIVE

> depression and was tempted by consciousness-altering substances. In consideration of the fact that he has received no CDC-115 violations during his entire incarceration, it would appear that he has, at least in this controlled setting, been able to manage his behavior and remain incident-free.

XIII.REVIEW OF LIFE CRIME:

According to inmate Ngo, his offense for which he was convicted and sentenced to 16 years to life was P.C. 187-A, Second Degree Murder, with enhancement P.C. 12022, vicariously armed with a gun.

The inmate stated that he agreed with the version of the crime given in his Central file, the verdict and the sentencing. However, he did state that no one intended to kill the victim, and that their intent was only to beat him up. He had past prior offenses, which included a two-year drug diversion, as referred to earlier in this report. He has had one previous BPT evaluation.

XIV. ASSESSMENT OF DANGEROUSNESS:

- A. Inmate Ngo's report agrees in all details with the information given in the Central file and medical record with respect to his prior history as a person who attempted to use cocaine and was affiliated with gangs, which resulted in his current offense. Based upon his complete lack of disciplinary actions while incarcerated, his insight into the negative aspects of gang involvement, and his remorse for his actions, his violence potential within a controlled setting is estimated to be below average relative to this Level II inmate population.
- If released to the community, his violence potential is estimated to be less than the average citizen in the community, given his insight, his demonstrated ability to stay out of trouble, his successful development of plans upon release, and the support of his family.

CDC NUMBER: J-07024

BPT MENTAL HEALTH EVALUATION

PAGE SIX

C. Clearly, the most significant risk factor for this inmate as a precursor to violence or a return to criminal behavior would be his reinvolvement with others having a criminal history and/or gang members, the abuse of alcohol and/or drugs, and isolation from his family members.

XV. CLINICIAN OBSERVATIONS/COMMENTS/RECOMMENDATIONS:

- A. This inmate is competent and responsible for his behavior. He has the capacity to abide by institutional standards and has done so during his entire incarceration period.
- B. This inmate does not have a mental health disorder which would necessitate treatment either during his incarceration period or following parole.
- C. As this man has spent ten years in prison, I would recommend, should he be paroled:
 - 1) Abstinence from all alcohol and/or use of any controlled substance.
 - 2) Frequent monitoring for substance abuse.
 - 3) If at all possible, he should be relocated so he is near his family.
 - 4) He should make frequent reports to his parole officer concerning his vocational progress and goals. The structure of the institution has, inevitably, to some extent, served to diminish his own self-reliance, and the process of reporting in and setting validating goals might be helpful in assisting him in establishing, perhaps for the first time in his life, a real sense of self-reliance and a positive prosocial sentence.
 - 5) Due to his family's commitment to supporting him upon his release, his projected level of

CDC NUMBER: J-07024

BPT MENTAL HEALTH EVALUATION

PAGE SEVEN

success in the community, if granted a date for parole, is seen at this time to be better than average.

C. SAINDON, Ph.D. Staff Psychologist

CORRECTIONAL TRAINING FACILITY, SOLEDAD

BILL ZIKA, Ph.D.

Senior Supervising Staff Psychologist CORRECTIONAL TRAINING FACILITY, SOLEDAD

CS/gmj

D: 01/23/02

01/31/02

EXHIBIT F

LIFE PRISONER EVALUATION REPORT SUBSEQUENT PAROLE CONSIDERATION HEARING AUGUST 2005 CALENDAR

NGO, SIEU

J-07024

INMATE COPY

- I. COMMITMENT FACTORS
 - A. <u>Life Crime</u>: PC 187, Murder 2nd with PC 12022(A)(1), Use of Weapon in commission of a felony. Orange County Superior Court Case #C99109. Term: 15 years to Life plus 1 year enhancement. MEPD: 5/24/03. Victim: Angel Ganzales, 15 years old. Inmate Ngo was received in the California Department of Corrections on 2/1/94.
 - 1. <u>Summary of Crime:</u> All relevant documents from the previous hearing including the transcripts have been considered and that information appears valid. The writer has no further information to add.
 - 2. Prisoner's Version: Remains the same as stated in the previous hearing.
 - 3. Aggravating/Mitigating Circumstances:
 - a. <u>Aggravating Factors</u>: Remains the same as stated in the previous hearing.
 - b. <u>Mitigating Factors</u>: Remains the same as stated in the previous hearing.
 - B. Multiple Crime(s): N/A.
 - 1. Summary of Crime: N/A.
 - 2. Prisoner's Version: N/A.

II. PRECONVICTION FACTORS:

- A. <u>Juvenile Record</u>: Documents from the previous hearing have been considered and that information remains valid.
- B. Adult Convictions and Arrests: Documents from the previous hearing have been considered and that information remains valid.

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. CTF-SOLEDAD - AUG/2005

NGO, SIEU.

J07024

C. Personal Factors: Documents from the previous hearing have been considered and that information remains valid.

III. **POSTCONVICTION FACTORS:**

- Special Programming/Accommodations: None. Á.
- В. Custody History: Documents from the previous hearing(s) have been considered and that information remains valid. Since his last Board of Prison Terms (BPT) hearing on 8/5/04, in which his parole was denied for one (1), inmate Ngo has remained at the Correctional Training Facility (CTF), in the General Population (GP). He has retained his custody at Medium A with a Preliminary/behavior classification score of 0 and a Mandatory Minimum Placement score of 19 (the change is due to the revised classification scoring system effective 10/15/02).
- C. Therapy and Self-Help Activities: Refer to the Postconviction Progress Report for details.
- Disciplinary History: Refer to the Disciplinary Sheet for details. D.
- E. Other:

FUTURE PLANS: IV.

- Residence: Inmate Ngo plans to live with his mother, Huynh Phong Ngo at 709 A. Triana Street, Monterey Park, California, 91754 with telephone number 626-282-3156. In addition, most, if not all of his relatives has offered housing, employment, financial, moral and social assistance to inmate Ngo, if and when he is released to parole.
- Employment: Inmate Ngo is in receipt of two (2) Certificates of Completion, В. Vocational Automotive Refinishing (9/12/97) and Upholstery (2/27/97), both employable trades. Inmate Ngo has been a Culinary Storekeeper Office Aide over two (2) years, another employable trade. His relatives are offering immediate employment opportunities in their liquor and restaurant business, if and when he is released to parole.
- Assessment: Inmate Ngo does not have any prior record of criminal conduct C. (considering the recency and frequency of prior crimes) and the circumstances of the instant offense, the does not appear to be criminally minded and has a good insight into himself. He has been able to maintain himself relatively disciplinary free (of serious rules violation report) since 2/12/00. In 9/12/97 and 2/27/97, he

LIFE PRISONER EVALUAT I REPORT PAROLE CONSIDERATION . : EARING AUGUST 2005 CALENDAR

3

acquired two (2) Certificates of Completion, Vocational Automotive Refinishing and Upholstery, respectively. In addition, he is in the process of acquiring college credits via correspondence from Coastline Community College with the hope that said credits will be transferable to a university and eventually obtain a degree in Biology. Finally, he has achievable and realistic parole plans.

V. <u>USINS STATUS</u>: Inmate Ngo was born on 5/18/73 in Vietnam, immigrated to the United States of America (USA) and later became a naturalized citizen of the USA.

VI. SUMMARY:

- A. Prior to release the prisoner could benefit from:
 - (1) Participate in self-help programs;
 - (2) Remain disciplinary free;
 - (3) Earn positive chronos;
- B. This report is based upon 3 hours of Central File research, an interview with inmate Ngo and incidental contact with the prisoner during this period of review.
- C. Inmate Ngo reviewed his Central File pursuant to in re: Olson on 5/31/05.
- **D.** No accommodation was required per the Armstrong vs. Davis BPT Parole Proceedings Remedial Plan (ARP) for effective communication.

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	OF PRISON TERMS (E PRISONER: POSTCONVIC'I ION PROGRESS REPORT	. (STATE OF CALIFORNIA
	DOCUMENTATION HEARING		
\boxtimes	PAROLE CONSIDERATION HEARING		
	PROGRESS HEARING		
INST	RUCTIONS TO CDC STAFF. DOCUMENT EACH 12-MONTH PERIOD FROM THE DATE THE LIFE T TO BPT STAFF: FOR EACH 12-MONTH INCREMENT APPLY THE GUIDELINES UNDEF ESTABLISHED, ic., 0-2 MONTHS FOR PBR AND 0-4 MONTHS FOR BP	R WHICH THE PAR	OLE DATE WAS ORIGINALLY

POSTCONVI	CTION CREDIT		
YEAR	BPT	PBR	REASONS
4/24/04 to 6/8/05 (Present)		TBIX	PLACEMENT: Remains housed at CTF, in the GP. CUSTODY: Remains at Medium A. ACADEMIC: Graduated from Fullerton High School, Orange County in 1992. Additionally, inmate Ngo has been and is currently enrolled in an Independent Study Program through Coastline Community College (semester ending May, 2005). WORK: Remains assigned as a Culinary Storekeeper Office Aide receiving satisfactory grades per the Work Supervisor's Report (CDC101). VOCATION: Inmate Ngo is in receipt of two (2) Certificates of Completion, Vocational Automotive Refinishing (9/12/97) and Upholstery (2/27/97). Additionally, he is in the Vocational Data Processing waiting list. GROUP ACTIVITIES: None noted this period. PSYCH TREATMENT: None noted this period.
			PRISON BEHAVIOR: Disciplinary free of serious rules violation reports since 2/12/00. OTHER: Inmate Ngo continues to better himself year afte year.
CORRECTIONAL COUNSELOR'S SIGN	ATURE ATT	francisco (DATE
F. I. DeGUZMAN	BARGE	NATT	6/8/05
NGO, SIEU PHONG	J07024	4522	CTF AUG/2005

DISCIPLINARY SHEET

CDC 128A'S:

02/11/00 CTF Window covering

04/01/97 LAC Failed to respond to ducat

CDC 115's:

None

NGO, SIEU

J07024

CTF

AUG/2005

EXHIBIT G

LIFE PRISONER EVALUATION REPORT SUBSEQUENT PAROLE CONSIDERATION HEARING MAY 2004 CALENDAR

NGO, SIEU PHONG

J-07024

I. COMMITMENT FACTORS:

- A. Life Crime: Murder second, PC 187, with use of a firearm PC 12022(A) (1). San Francisco County case #c99109, sentence: 15 years to life plus one year enhancement.MEPD: 5-24-03. Victim: Angel Gonzalez, age 15 years old. Received by CDC on 2-1-94.
 - 1. Summary of Crime: All relevant documents from the previous hearings including the transcripts have been considered and that information appears valid and the writer has no further information to add.
 - Prisoner's Version: Remains the same as stated in the previous hearing. 2.
 - Aggravating/Mitigating Circumstances: 3.
 - Aggravating Factors: Remains the same as stated in the previous a. hearing.
 - Mitigating Factors: Remains the same as stated in the previous b. hearing.
- Multiple Crime(s): None. В.
 - 1. Summary of Crime: None.
 - Prisoner's Version: None. 2.

II. PRECONVICTION FACTO

- A. Juvenile Record: Remains the same.
- Adult Convictions and Arrests: Remains the same. B.
- C. Personal Factors: Remains the same.

III. POSTCONVICTION FACTORS:

- Special Programming /Accommodations: None. A.
- В. Custody History: Documents from the previous hearing have been considered and that information remains valid. During the period of time since the last hearing (5-13-02), Ngo's behavior has been positive, in that he has remained disciplinary free and maintained a stable work program in his assignment as a culinary warehouse worker.
- C. Therapy and Self-Help Activities: Ngo is involved in an Independent Study Program through Coastline Community College. He participates in the Narcotics Anonymous Program. He completed the 13 week IMPACT workshop.
- Disciplinary History: Ngo received only two CDC 128A's. See Disciplinary D. Sheet for details.
- E. Other: An Initial Parole Consideration Hearing was held on 5-13-02. The BPT recommended:
 - 1. Remain disciplinary free.
 - 2. Upgrade education.
 - 3. Continue to participate in self-help and therapy. The BPT denied parole for an additional two years.

IV. **FUTURE PLANS:**

- Residence: Ngo plans to reside with his mother, Phuong Hungk Ngo, at 709 A. Triana Street, Monterey Park, California, (714)827-7832.
- Employment: Ngo plans to work at the family liquor store which is owned by his В. sister, Julie Seeto, in Anaheim. His uncle, Calvin Ung owns a restaurant in Anaheim and has offered Ngo a job as a cook.
- C. Assessment: Ngo's chances of a successful parole are very good. He has concrete parole plans and letters of support from his family.

CTF-SOLEDAD

- V. USINS STATUS: No holds.
- VI. SUMMARY:

NGO, SIEU, PHONG

LIFE PRISONER EVALUATI 'REPORT SUBSEQUENT PAROLE COMSIDERATION HEARING MAY 2004 CALENDAR

- A. Considering the commitment offense, minimal prior arrest record and good prison adjustment, the writer believes Ngo would probably pose a low degree of threat to the public at this time, if release from prison. This opinion is based on Ngo being immature at the time of the crime and easily influenced by his peers. The crime was episodic in nature. Ngo has a limited criminal history and has not shown a pattern of violence in custody.
- B. Prior to release, Ngo could benefit from remaining disciplinary free and upgrading his education.
- C. This board report is based upon a one hour interview, incidental contact in the housing unit and a through review of the Central File lasting on hour.
- D. Ngo declined the opportunity to review the Central File on 1-20-04 (see CDC 128B Chrono).
- E. No accommodation was required per the Armstrong vs. Davis BPT Parole Proceeding Remedial Plan for effective communication.

Case 3:08-cv-00620-JSW Document 7-3 Filed 09/03/2008 Page 125 of 140

DISCIPLINARY SHEET

CDC 128A's:

2-11-00

CTF

Window covering.

4-1-97

LAC

Failed to respond to medical ducat.

CDC 115's:

None.

LIFE PRISONER EVALUAT I REPORT SUBSEQUENT PAROLE CL. SIDERATION HEARING MAY 2004 CALENDAR

M. Rubio

Date

Correctional Counselor I

L.R Baker

Date

Correctional Counselor II

L Clancy

Date

Facility Captain

D.S. Levorse

Date

Classification and Parole Representative

BOARD OF PRISON TERMS LIFE PRISONER: POSTCONVICTION PROGRESS REPORT						
DOCUMENTATION HEARING						
PAROLE CONSIDE	PAROLE CONSIDERATION HEARING					
PROGRESS HEARI	PROGRESS HEARING					
TO BPT STAFF: FOR E.	ACH 12-MONTH INCREM	ENT APPLY THI	THE DATE THE LIFE TERM STARTS TO PRESENT E GUIDELINES UNDER WHICH THE PAROLE DATE WAS ORIGINALLY O 0-4 MONTHS FOR BPT. SEE BPT §\$2290 - 2292, 2410 AND 2439.			
POSTCONVI	CTION CREDIT					
YEAR	BPT	PBR	REASONS			
5/02 TO 4/2003			PLACEMENT: CTF. CUSTODY: MED A. VOC. TRAINING: None. ACADEMICS: Enrolled in an Independent Study Program. WORK RECORD: Assigned to the culinary warehouse. GROUP ACTIVITIES: Completed the IMPACT workshop attended Narcotics Anonymous meetings. PSYCH. TREATMENT: None. PRISON BEHAVIOR: No disciplinaries. OTHER: None.			
·						
			DATE			
CORRECTIONAL COUNSELOR'S SIGNATURE White Color and Colo						
Ngo, Sieu J-07024 CTF-SOLEDAD May/2004						

CTF-SOLEDAD

BOARD OF PRISON TERMS

STATE OF CALIFORNIA

CONTINUATION SHEET: LIFE PRISONER: POSTCONVICTION PROGRESS REPORT

		ION CREDIT			
YE.	4R	BPT	PBR	REASONS	
5/2003 To	Present			PLACEMENT: CTF. CUSTODY: MED A. VOC. TRAINING: None. ACADEMICS: Enrolled in an Independent WORK RECORD: Assigned to the cult GROUP ACTIVITIES: Participated in Anonymous meetings. PSYCH. TREATMENT: None. PRISON BEHAVIOR: No disciplinari	inary warehouse. Narcotics
•	•			OTHER: None.	
				•	
	÷				
					· · · · · · · · · · · · · · · · · · ·
ORDER:		advanced by advanced by	months.	BPT date affirmed without change BBR date affirmed without change	
PECIAL CO		OF PAROLE: y imposed condition odify	s affirmed.		
	Schedule:	for Progress Hearing	g on appropriate	institutional calendar	
Van Sieu		1-070	724	CTF-SOLEDAD	May/2004

STATE OF CALIFORNIA

BOARD OF PRISON TERMS

EXHIBIT H

FE PRISONER EVALUATION REARING INITIAL PAROLE CONSIDERATION HEARING APRIL 2002 CALENDAR

NGO, SIEU PHONG

J07024

I. <u>COMMITMENT FACTORS</u>:

- A. <u>Life Crime</u>: Murder Second, PC 187, with Use of a Firearm, PC 12022(A)(1). San Francisco County Case #C99109. Sentence: 15 years to Life plus one year enhancement. MEPD: 5/24/03. Victim: Angel Gonzalez, age 15 years old. Received by CDC on 2/1/94.
 - 1. Summary of Crime: On 9/18/92, Angel Gonzalez was beaten and shot to death near Fullerton High School as he was walking home after school. An investigation revealed that earlier in the day the victim, a member of the "Fullerton Tokers Town" a Latin gang and member of "Fullerton Boyz" an Asian gang, were at a McDonald's restaurant, near the high school. The victim and No Muhamed had a confrontation with each claiming their respective gang affiliations. After this non-physical altercation, the group of Asians which at the time included Sieu Phong Ngo, obtained a firearm. Ngo and the Asian gang members returned to the school where they waited for Gonzalez. As he walked home he was attacked and beaten. During the physical altercation the victim was shot one time in the back by Usumang Muhamed. The group of five Asian gang members including Ngo fled the area after the shooting. Angel Gonzalez died at the scene as a result of the gunshot wound. Ngo, Jimmy Dao and Asat Cham fled to the state of Washington. They were subsequently apprehended there, and the murder weapon, a stolen .22 caliber handgun was recovered in the vehicle. Information obtained from POR pages 3 & 4.
 - Prisoner's Version: Ngo explained that earlier in the day his group had a confrontation with the victim. Subsequently, he and his group went to an arcade to play games. At that time his companions took possession of a weapon. Ngo stated that he did not see the gun until he was in the vehicle, it was located under the passenger's seat. He and his companions returned to Fullerton High School where they parked and went to look for Gonzalez. Ngo explained that they had gun for protection in case someone else happened to have a weapon. They found Gonzalez, and he and his group started fighting with the victim. During the fight Ngo heard two shots. Ngo explained that no one intended to kill the victim. They just planned to beat him up because Gonzalez had told them to "get out of town," and this made Muhamed angry. Ngo realizes that his behavior was a mistake. Ngo drove his two companions to Washington because

CTF-Soledad

APR/2002

J07024

LIFE PRISONER EVALUAT N REPORT INITIAL PAROLE CONSIDE. ATION HEARING APRIL 2002 CALENDAR

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Muhamed told him that he knew someone who would give them shelter. Ngo feels sorry for the victim's family.

В. Aggravating/Mitigating Circumstances:

Aggravating Factors: 1.

- The victim was particularly vulnerable in that he was a. outnumbered.
- b. The crime was racially motivated.
- The inmate had an opportunity to cease but continued with the C.
- The crime involved use of a firearm. d.
- Mitigating Factors: The inmate has a minimal history of criminal 2. behavior.

PRECONVICTION FACTORS: II.

- Juvenile Record: None. A.
- Adult Convictions: On 3/30/92, Ngo was arrested by the San Gabriel Police B. Department for Possession of a Controlled Substance (three pieces of rock cocaine). On 5/7/92 he was diverted pursuant to Section 1000 of the Penal Code. On 9/22/92, Ngo was arrested by the Olympia Sheriff's Office for Possession of Stolen Property. This case was Subsequently dismissed. Information obtained from CI&I.
- Personal Factors: Ngo was born in Vietnam on 5/18/73. He has resided in the C. United States since 1979. In 1991 he graduated from Fullerton High School and subsequently attended Fullerton Community College and Pasadena City College. He completed ten units and his major was business. Ngo was employed as a telemarketer and worked odd jobs. He was employed at his family's liquor store and resided with his parents. Ngo had problems with controlled substances or alcohol. He was a member of the "Fullerton Boyz," and after his move to Los Angels he became affiliated with the "Tiger Mafia."

POSTCONVICTION FACTORS:

- A. Special Accommodations/Disability: None.
- B. <u>Custody History</u>: Ngo was received by R.J. Donovan Correctional Facility for Initial Processing on 2/1/84. He was transferred to Centinela State Prison on 3/17/94 and placed on Close A Custody. On 5/16/95 he was transferred to California State Prison at Lancaster and placed on Medium A Custody. On 12/16/98 he was transferred to the Correctional Training Facility and continued Medium A Custody. Ngo has been assigned to the Yard Crew and various positions as a clerk. He has completed courses in Tuberculosis, AIDS and Hepatitis. Ngo successfully completed Vocational Auto Upholstery, Automotive Refinishing and Vocational Auto Paint.
- C. Work, Education, Vocation, Therapy & Self-Help Activities: Ngo has completed self-help courses in Salesmanship and Parenting and has been a participating member of Alcoholics Anonymous and Narcotics Anonymous.
- **D.** <u>Disciplinary History:</u> Ngo has received only two CDC 128A's during his incarceration. See Disciplinary Sheet for details.

IV. FUTURE PLANS:

- A. Residence: Ngo plans to reside with his mother, Phuong Hungk Ngo, at 709 Triana Street, Monterey Park, California, (714) 827-7832.
- B. <u>Employment:</u> Ngo plans to work at the family liquor store which is owned by his sister, Julie Seeto, in Anaheim. His uncle, Calvin Ung, owns a restaurant in Anaheim and has offered Ngo a job as a cook.
- V. <u>USINS STATUS</u>: Ngo is a United States Citizen.

VI. <u>SUMMARY:</u>

- A. Considering the commitment offense, minimal prior arrest record and prison adjustment, the writer believes Ngo would probably pose a moderate to low degree of threat to the public at this time, if released from prison. This opinion is based on Ngo being immature at the time of the crime and easily influenced by his peers. The crime was episodic in nature. Ngo has a limited criminal history and has not shown a pattern of violence in custody.
- B. Prior to release Ngo could benefit from remaining disciplinary free and completing an additional vocational program.

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· LIFE PRISONER EVALUATION REPORT INITIAL PAROLE CONSIDL ATION HEARING APRIL 2002 CALENDAR 4

- C. This report is based upon a one hour interview, incidental contact in the housing unit and a thorough review of the Central File.
- D. Ngo reviewed his Central File on 1/18/02.

LIFE PRISONER EVALUAT N REPORT INITIAL PAROLE CONSIDE, ATION HEARING APRIL 2002 CALENDAR

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M. Rubio

Correctional Counselor I

C. Plymesser

Correctional Counselor II

Facility Captain

Classification and Parole Representative

EXHIBIT I

CEV TO I/M VIA

PSYCHOLOGICAL EVALUATION FOR THE BOARD OF PRISON TERMS MARCH 1997 CALENDAR

[C-] [S] 1-8-57 (date)

CALIFORNIA STATE PRISON-LOS ANGELES COUNTY

NAME:

NGO, SIEU

CDC#:

J-07024

DATE OF EVALUATION:

December 27, 1996

IDENTIFICATION/FORENSIC DATA:

This is the psychological report for the "Documentation #1 Hearing" scheduled for March of 1997 for Sieu Ngo; CDC# J-07024. On October 21, 1993; Mr. Ngo was convicted by jury trial of P.C. 187A murder in the second degree, reduced from first degree in exchange for agreeing to a 15-to-life sentence and no appeal, with enhancement P.C. vicariously armed with a qun. He was sentenced to 16-yearsto-life. The crime occurred September 18, 1992. Mr. Ngo and four co-defendants beat and shot in the back a fifteen year old member of an enemy gang. Mr. Ngo stated that he agreed with the records of the crime, the verdict, and the sentencing. However, he did state at the time of the trial that no one intended to kill the victim only to beat him up.

Past prior offenses include a two year drug diversion, for possession of Cocaine and several other arrests which did not result in convictions. There have been no prior psychological Board of Prison Terms reports.

BACKGROUND INFORMATION:

The evaluation consisted of a single 45-minute interview, for the purposes of this report. This was after review of the Central File and medical records on 12/27/96. There are no records of past psychological/psychiatric treatment.

Mr. Ngo has received no disciplinary actions. Not a single 115 or 128A. Mr. Ngo completed two years of college prior to coming to prison. During incarceration, his vocational training in auto upholstery earned him good comments from his instructors such as, "doing well - shows enthusiasm". He received several certificates of completion. The last one in October of 1996. Mr. Ngo has attended Narcotic's

PSYCHOLOGICAL EVALUATION FOR THE BOARD OF PRISON TERMS MARCH 1997 CALENDAR DATE OF EVALUATION: December 27, 1996 PAGE 2

Anonymous and received a one year perfect attendance certificate in November of 1996. He has not been employed in prison. Prior to incarceration, he was employed in sells, telemarketing, and as a cook while a student in junior college.

The historical information supplied by the inmate agreed with the records and there were no distortions.

. PRESENT CONDITION:

His Central File contains no records of past mental illness; however, the inmate reported a history of depression, with three suicide attempts during his adolescence. He has records of hospitalization for the suicide attempts and his family will be obtaining these records for entry in his Central File. He has numerous cuts and slices on his arm from suicide attempts. Mr. Ngo describes his level of insight at the time of the crime as very limited, naive, showing poor judgment in his friends and activities. He had decided to disengage from these friends and moved away one year prior to the crime, which occurred while he wasvisiting. Now, he reports he is able to think before acting; he has changed his attitude to one of valuing service to his fellow man such as mentoring and tutoring. The remorse he expresses is sincere. He shows much shame and self-incrimination for the crime. In hindsight, he sees that he perhaps could have stopped the incident and now has great empathy and remorse for the family of the victim.

MENTAL STATUS AND DIAGNOSIS:

Mental status indicators are clear in all areas: mood and affect, behavior, speech, thought content and processes, and cognition.

AXIS I: V71.09 NO DIAGNOSIS OR CONDITION.

AXIS II: V71.09 NO DIAGNOSIS.

AXIS III: NONE.

PSYCHOLOGICAL EVALUATION FOR THE BOARD OF PRISON TERMS MARCH 1997 CALENDAR DATE OF EVALUATION: December 27, 1996 PAGE 3

AXIS IV: PSYCHOSOCIAL STRESSORS: INCARCERATION.

AXIS V: GLOBAL ASSESSMENT OF FUNCTIONING = 75.

CONCLUSIONS AND RECOMMENDATIONS:

Mr. Ngo's history of depression and suicide attempts may have contributed to his state of mind and poor judgment during the time of the crime. There are no records of any mental health treatment during incarceration and Mr. Ngo is no longer depressed. He has increased his chances for successful re-entry into society by setting educational goals in academic subjects and a good start in attendance in Narcotic's Anonymous. He plans to start Alcoholic's Anonymous in 1997. He also has excellent family support who can provide him a place to live, employment, and are willing pay for correspondence courses for him during incarceration. This inmate is of above average intelligence and has excellent potential in intellectual endeavors. He is no longer depressed and is now able to recognize the symptoms of depression and would seek help as needed. Also in his favor is the absence of any indications of violence other than the crime.

To continue positive programming, Mr. Ngo needs to regularly attend Narcotic's Anonymous and Alcoholic's Anonymous, remain disciplinary-free, and pursue higher education through correspondence courses. By reducing his points, he can possibly transfer to other institutions that facilitate correspondence studies and provide other self-help group opportunities. Psychotherapy is not part of his program unless he has a recurrence of his depression.

C. SCHROEDER, Ph.D. Staff Psychologist

Date

Orig:

C-File

cc:

Medical Records

Psych.

Inmate

DECLARATION OF SERVICE BY MAIL

I, the undersigned, declare:

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I am over eighteen (18) years of age, and not a party to the within cause; my business address is 523 West Sixth Street, Suite 1109, Los Angeles, CA. 90014. That on August 15, 2006, I served a copy of the within:

EXHIBITS IN SUPPORT OF PETITION FOR WRIT OF HABEAS CORPUS

On the interested parties by placing them in an envelope (or envelopes) addressed respectively as follows:

Office of the Attorney General	Mr. Sieu Phong Ngo
P.O. Box 85266	J-07024/ B-wing 319-up
San Diego, CA 92186-5266	CTF- Soledad
	P.O. Box 689
Office of the District Attorney	Soledad, CA 93960-0689
700 Civic Center Drive West	
Santa Ana, CA 92701	

Each said envelope was then, on August 15, 2006, sealed and deposited in the United States mail at Los Angeles, California, the county in which I maintain my office, with postage fully prepaid.

I declare under penalty of perjury that the foregoing is true and correct. Executed on August 15, 2006, at Los Angeles, California.

HANNON CALLAHAN

5:12

DECLARATION OF SERVICE BY MAIL

I, the undersigned, declare:

I am over eighteen (18) years of age, and not a party to the within cause; my business address is 523 West Sixth Street, Suite 1109, Los Angeles, CA. 90014. That on October 17, 2006, I served a copy of the within:

EXHIBITS IN SUPPORT OF PETITION FOR WRIT OF HABEAS CORPUS

On the interested parties by placing them in an envelope (or envelopes) addressed respectively as follows:

Office of the Attorney General P.O. Box 85266 San Diego, CA 92186-5266

Clerk of the Superior Court 700 Civic Center Drive West Santa Ana, CA 92702-1944 For delivery to the Honorbable Kazuharu Makino

Office of the District Attorney 700 Civic Center Drive West Santa Ana, CA 92701

Mr. Sieu Phong Ngo J-07024/ B-wing 319-up CTF- Soledad P.O. Box 689 Soledad, CA 93960-0689

Each said envelope was then, on October 17, 2006, sealed and deposited in the United States mail at Los Angeles, California, the county in which I maintain my office, with postage fully prepaid.

I declare under penalty of perjury that the foregoing is true and correct. Executed on October 17, 2006, at Los Angeles, California.

HANNON CALLAHAN